

Kitaev Model as an error correcting code
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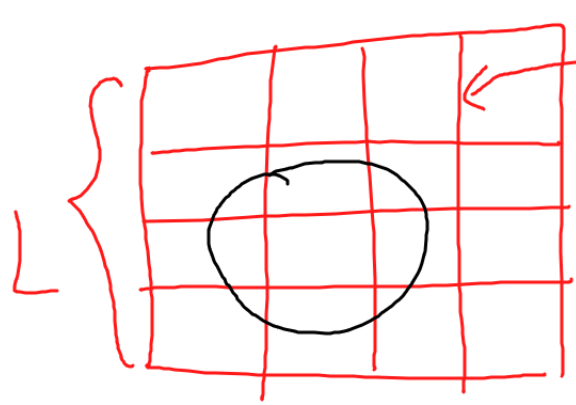
Kitaev model: Spin lattice model, e.g. toric code

→ topological quantum order (TQO)
(top phase of matter)

→ Gapped spectrum, anyons, braid anyons,
stable under perturbations

→ top quantum computing

"folklore" Goal: Mathematically justify



$$\mathcal{H} = \bigotimes_{\text{edges}} \phi^d$$

$$H = - \sum_i P_i$$

P_i : local projector, $P_i^2 = P_i$

$$[P_i, P_j] = 0$$

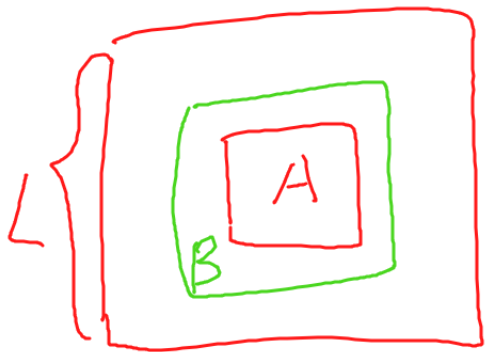
H frustration-free, Vg.s = $\left\{ |\chi\rangle \in \mathcal{H} : P_i |\chi\rangle = |\chi\rangle \right\}$
 $\forall i$

$$P = \prod P_i : \mathcal{H} \longrightarrow \text{Vg.s}$$

Def (Bravyi, Hastings, Michalakis).

A spin system is a TQO if

TQO 1: $\exists \delta > 0$, \forall sublattice A of size $\leq L^\delta$
 $\forall \mathcal{O}_A$ acting on A , then



$$P \mathcal{O}_A P = \underbrace{c}_P \text{ scalar}$$

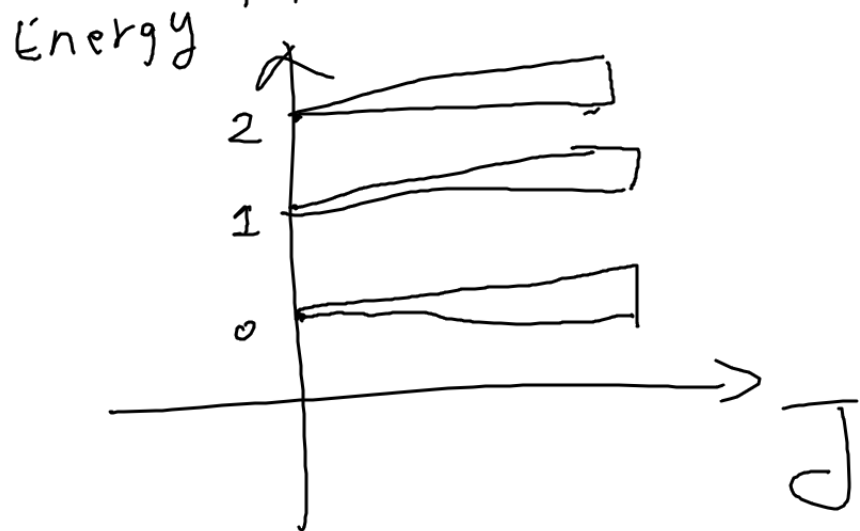
\Leftrightarrow Vg.s corrects errors in A .

TQO 2: $P = \prod P_i$, $P_B = \prod_{\text{supp}(P_i) \subseteq B} P_i$

$\text{Tr}_{Ac}(P)$ and $\text{Tr}_{Ac}(P_B)$ have the same kernel.

(BHM) If H_0 is a TQO, then its spectra gap is stable under local perturbation.

$$H = H_0 + V, \quad \|V\| \leq J$$



Goal: Kitaev model is a TQO.

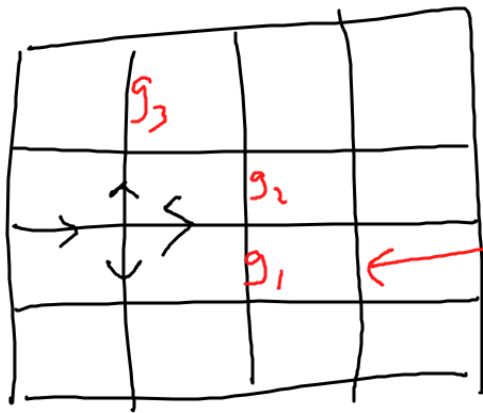
Kitaev model

$G = \{g_1, g_2, \dots\}$ finite group.

Σ : a closed surface, e.g. torus

\mathcal{L} : a lattice on Σ . $\mathcal{L} = (V, E, F)$
 vertices edges faces

orient edges arbitrarily.



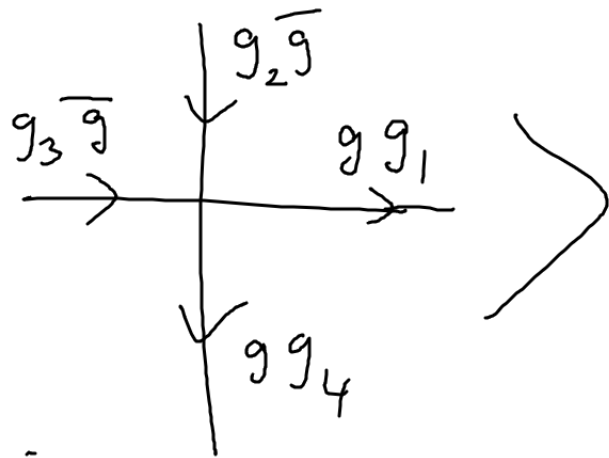
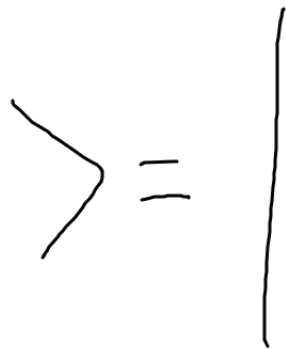
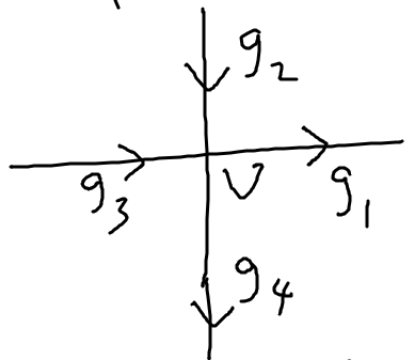
$$\phi[G] = \text{span}_{\mathbb{C}} \{g \mid g \in G\}$$

$$\mathcal{H} = \bigotimes_{e \in E} \phi[G]$$

$$|g_{e_1} g_{e_2}, \dots\rangle = \bigotimes_{e \in E} g_e$$

$$1) \forall v \in V, g \in G$$

$A_g(v)$
 \nearrow
 gauge transf.



$$\cdot A_g(v) A_{g'}(v) = A_{gg'}(v)$$

$$\cdot v \neq v', [A_g(v), A_{g'}(v')] = 0$$

$$A(v) \equiv \frac{1}{|G|} \sum_g A_g(v)$$

$$\cdot A(v)^2 = A(v)$$

$$2) \quad \forall P \in F$$

$$B(P) \left| \begin{array}{c} \xrightarrow{g_3} \\ \square \\ \xleftarrow{g_4} \\ \xrightarrow{g_1} \\ \xleftarrow{g_2} \end{array} \right. P \rangle = \int \delta g_1 g_2 \bar{g}_3 g_4, 1 \left| \begin{array}{c} \xrightarrow{g_3} \\ \square \\ \xleftarrow{g_4} \\ \xrightarrow{g_1} \\ \xleftarrow{g_2} \end{array} \right. \rangle$$

$$\cdot B(P)^2 = B(P)$$

$B(P)$ picks out states with trivial holonomy.
 $A(v)$ picks out states which is gauge invariant.

$\cdot \{ A(v), B(P) \mid v \in V, P \in F \}$ pairwise commute

$$H = - \sum_v A(v) - \sum_P B(P)$$

$$V_{g.s} = \{ |\gamma\rangle \in \mathcal{H} : A(v)|\gamma\rangle = |\gamma\rangle \\ B(p)|\gamma\rangle = |\gamma\rangle, \forall v, p \}$$

Facts: ① $G = \mathbb{Z}_2 \leadsto$ toric code.

$$\text{② } \dim V_{g.s} = \left| \text{Hom}(\pi_1(\Sigma), G) / \text{conjugation} \right|$$

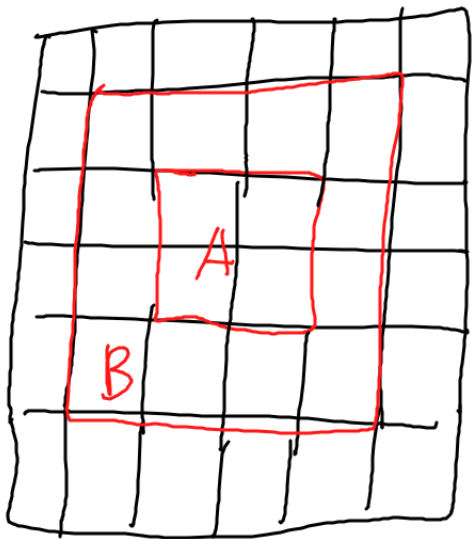
Thm (CDHPRRZ, '19)

Let $A \subset B \subset \mathbb{Z}$ sublattice,
connected, contractible.

$$V_{g.s}^{loc} = \{ |\gamma\rangle \in \mathcal{H} : A(v)|\gamma\rangle = |\gamma\rangle = B(p)|\gamma\rangle, \\ v \in B^{int}, p \in B \}$$

Then, $\forall |\gamma\rangle \in V_{g.s}^{loc}$, $\langle \gamma | \gamma \rangle = 1$, we have

$\text{Tr}_A |\gamma\rangle \langle \gamma|$ is independent of $|\gamma\rangle$.



Thm \Rightarrow TQO:

basis $\{|\gamma_i\rangle\}$ of $V_{g,s} \subset V_{g,s}^{loc}$

$$\text{Tr}_{A^c} |\gamma_i\rangle\langle\gamma_i| \equiv \rho, \quad \text{Tr}_{A^c} |\gamma_i\rangle\langle\gamma_j| = 0, \quad i \neq j$$

$$P = \sum_i |\gamma_i\rangle\langle\gamma_i|, \quad P O_A P = \text{Tr}(P O_A) P$$

\Rightarrow TQO 1.

$$\text{Tr}_{A^c} P = \dim V_{g,s} \rho$$

$$\text{Tr}_{A^c} P_B = \dim V_{g,s}^{loc} \rho$$

\Rightarrow TQO 2.

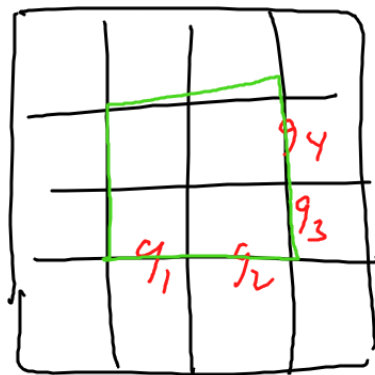
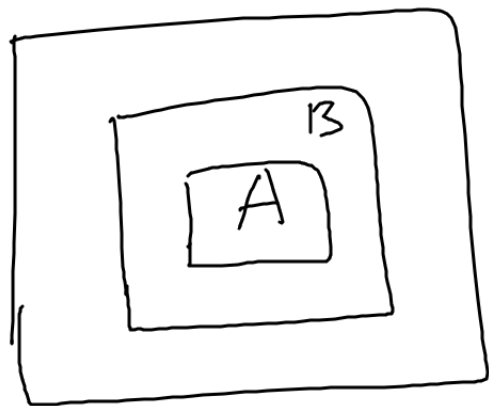
sem: ① Toric code case is easy

② P Naaijkens (thesis) Kitaev model
on infinite plane.

③ Y. Qiu, Z. Wang

Levin-Wen is TQFT

Sketch:



$$|g\rangle = \bigotimes_{e \in E} |g_e\rangle$$

Given a loop γ , $hol(\gamma) = g_1 g_2 g_3 \dots$

$$B(p)|g\rangle = |g\rangle \text{ iff } hol(\partial p) = 1$$

$$B(p)|g\rangle = |g\rangle \quad \forall p \in B \text{ iff } hol(\gamma) = 1, \quad \forall \text{ loop } \gamma \text{ in } B, \\ (\because B \text{ contractible})$$

$$|7\rangle \in V_{g,s}^{Lc} \quad \sum_{\substack{g \text{ trivial} \\ \text{hol in } A}} C_g \underbrace{|g\rangle_A}_A \underbrace{|\bar{g}\rangle_{A^c}}_{A^c}$$

$$= \sum_{\substack{g \text{ trivial} \\ \text{hol}}} |g\rangle_A \underbrace{|\phi(g)\rangle_{A^c}}_{\text{un-normalized.}}$$

Claim: $\forall g, g'$ trivial hol in A , $g|_{\partial A} = g'|_{\partial A}$, then
 \exists gauge transf U acting on A^{int} , s.t.
 $U^{\text{int}} |g\rangle = |g'\rangle$

$$\Rightarrow |\phi(g)\rangle_{A^c} = |\phi(g')\rangle_{A^c} \equiv |\phi(g|_{\partial A})\rangle_{A^c}$$

For $h: \partial A \rightarrow G$, trivial hol

$$|g_h\rangle_A := \sum_{\substack{g|_{\partial A} = h \\ g \text{ trivial hol}}} |g\rangle_A$$

$$|\gamma\rangle = \sum_h |g_h\rangle_A |\phi(h)\rangle_{A^c}$$

Claim: $\{|\phi(h)\rangle_{A^c}\}$ ortho, have the same norm

$$\text{Tr}_A |\psi\rangle\langle\psi| \sim \sum_h |g_h\rangle\langle g_h|$$
