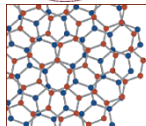


Symmetry as a shadow topological order

Xiao-Gang Wen (MIT)

2020/12/08

Kong-Lan-Wen-Zhang-Zheng arXiv:2003.08898; arXiv:2005.14178

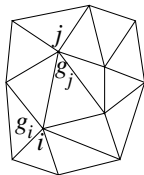


Simons Collaboration on
Ultra-Quantum Matter



Symmetry in quantum systems

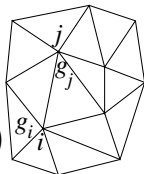
- What is a **quantum system**?
 - Total Hilbert space $\mathcal{V} = \bigotimes_i \mathcal{V}_i$ (\mathcal{V}_i finite Hilbert space on vertex- i)
 - A local Hamiltonian (a hermitian operator) of form $H = \sum O_i + O_{ij}$
- What is a **symmetry** in quantum system?
 - A symmetry is a set of **linear constraints** on local operators \rightarrow **local symmetric operators**
 - Sum of local symmetric operators \rightarrow **symmetric Hamiltonian.**



Symmetry in quantum systems

- What is a **quantum system**?
 - Total Hilbert space $\mathcal{V} = \bigotimes_i \mathcal{V}_i$ (\mathcal{V}_i finite Hilbert space on vertex- i)
 - A local Hamiltonian (a hermitian operator) of form $H = \sum O_i + O_{ij}$
- What is a **symmetry** in quantum system?
 - A symmetry is a set of **linear constraints** on local operators \rightarrow **local symmetric operators**
 - Sum of local symmetric operators \rightarrow **symmetric Hamiltonian**.

- **A example**: A lattice model on a triangulation of a n -dimensional space M^n . Local Hilbert space \mathcal{V}_i on each vertex (or site) is spanned by $|g_i\rangle, g_i \in G$.



- The **on-site** (gaugable, anomaly-free) symm. (right-action)

$$W_h = \bigotimes_i W_h(i), \quad W_h |\cdots g_i, g_j \cdots\rangle = |\cdots g_i h, g_j h \cdots\rangle, \quad h \in G.$$

- The set of **local symmetric operators** (which form an algebra)

$$\mathcal{A} = \{O_{ij} \mid W_h O_{ij} = O_{ij} W_h, \quad \forall h \in G\}$$

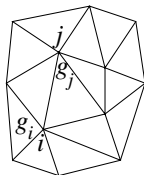
A quantum system with 0-symmetry G

- A **symmetric Hamiltonian** (in the basis $|\cdots g_i, g_j \cdots\rangle$)

$$H_{\text{site}} = -J \sum_i \delta(g_i g_j^{-1}) - B \sum_i \sum_{h \in G} T_h(i)$$

$$\delta(g_i g_j^{-1}), \sum_{h \in G} T_h(i) \in \mathcal{A} = \{O_{ij} \mid W_h O_{ij} = O_{ij} W_h\}$$

$$T_h(i) |\cdots, g_i, g_j, \cdots\rangle = |\cdots, h g_i, g_j, \cdots\rangle, \text{ left-action.}$$



- When $B = 0, J > 0$, there are $|G|$ degenerate ground states:

$$\{|\cdots, g, g, g, \cdots\rangle = \bigotimes_i |g\rangle_i \mid g \in G\}$$

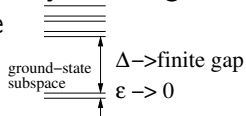
but a unique **symmetric** ground state $\sum_{g \in G} |\cdots, g, g, g, \cdots\rangle$

- The degenerate ground states \rightarrow spontaneous symmetry breaking.

- In the ground state subspace, the product states are

not symmetric, and the symmetric state is not

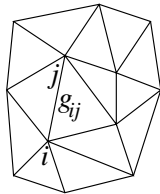
product states \rightarrow **spontaneous symm. breaking**.



- When $J = 0, B > 0$, there is a unique ground state $\bigotimes_i \sum_{g_i \in G} |g_i\rangle_i$, which is a symmetric product state \rightarrow **no symmetry breaking**

A non-Abelian duality

- We consider duality transformation of H_{site} . The dual model has local Hilbert space \mathcal{V}_{ij} for each link ij , where \mathcal{V}_{ij} is spanned by $|g_{ij}\rangle$, $g_{ij} \in G$, with $g_{ij} = g_{ji}^{-1}$.
- The duality map between local operators:



$$g_i g_j^{-1} \rightarrow g_{ij}, \quad T_h(i) \rightarrow \tilde{T}_h(i) : |\cdot, g_{ij}, g_{ik}, g_{jk}, \cdot\rangle \rightarrow |\cdot, h g_{ij}, h g_{ik}, g_{jk}, \cdot\rangle$$

$$H_{\text{link}} = -J \sum_{ij} \delta(g_{ij}) - B \sum_i \sum_{h \in G} \tilde{T}_h(i) - U_\infty \sum_{ijk} \delta(g_{ij} g_{jk} g_{ki}),$$

When $J = 0$, H_{link} is a lattice gauge theory.

- $U_\infty = \infty$ makes $g_{ij} g_{jk} g_{ki} = 1 \rightarrow$ flat connection. In this case, there is a $|G|$ -to-1 correspondence (* base point)

$$g_i h (g_j h)^{-1} \rightarrow g_{ij}, \quad g_i h (g_* h)^{-1} \leftarrow g_{ij} g_{jk} \cdots g_{l*}, \quad h \in G.$$

- H_{site} within the symmetric sub Hilbert space has identical eigenvalues as H_{link} below U_∞ if the space M^n satisfies $\pi_n(M^n) = 0$

Algebraic higher symmetry

Ji-Wen arXiv:1912.13492, Kong-Lan-Wen-Zhang-Zheng arXiv:2005.14178

- $H_{\text{link}} = -J \sum_{ij} \delta(g_{ij}) - B \sum_i \sum_{h \in G} \tilde{T}_h(i) - U_\infty \sum_{ijk} \delta(g_{ij} g_{jk} g_{ik}^{-1})$
has an **Algebraic** $(n-1)$ -**symmetry** $\tilde{G}^{(n-1)}$, generated by Wilson loop operators (for **any loops** S^1) $W_q(S^1) = \text{Tr} \prod_{\langle ij \rangle \in S^1} R_q(g_{ij})$.

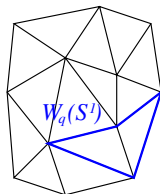
R_q is an irreducible representation of G labeled by q .

- The **local symmetric operators**

$$\mathcal{A} = \{O_{ij} \mid W_q(S^1) O_{ij} = O_{ij} W_q(S^1), \forall q \text{ and } \forall S^1\}$$

and H_{link} is a sum of local symmetric operators.

- The symmetry acts on all codimension- $(n-1)$ closed subspace S^1 .
- The symmetry satisfies $W_{q_1}(S^1) W_{q_2}(S^1) = \sum_{q_3} N_{q_1 q_2}^{q_3} W_{q_3}(S^1)$, which do not form a group for non-Abelian G .
→ **algebraic** $(n-1)$ -**symmetry** (**algebraic higher symmetry**)
- If G is Abelian, the $(n-1)$ -symmetry (**higher symmetry**) $\tilde{G}^{(n-1)}$ is described by a higher group. Gaiotto-Kapustin-Seiberg-Willettt arXiv:1412.5148

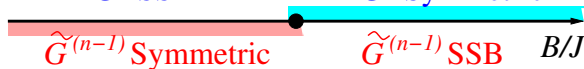


Spontaneous breaking of algebraic higher symmetry

- G symmetry has a phenomenon: spontaneous symmetry breaking

$$H_{\text{site}} = -J \sum_i \delta(g_i g_j^{-1}) - B \sum_i \sum_{h \in G} T_h(i)$$

$|G|^{\pi_0(M^n)}$ degenerate ground states Unique ground state on any M^n
 G SSB G Symmetric



Unique ground states on any M^n Degenerate ground states on some M^n

$$|\text{grnd}\rangle = \bigotimes_{ij} |g_{ij} = 1\rangle$$

$$|\text{grnd}_\alpha\rangle = \sum_{g_{ij} g_{jk} g_{ki} = 1} \bigotimes_{ij} |g_{ij}\rangle$$

- The algebraic $(n-1)$ -symm. also has spontaneous symm. breaking

$$H_{\text{link}} = -J \sum_{ij} \delta(g_{ij}) - B \sum_i \sum_{h \in G} \tilde{T}_h(i) - U_\infty \sum_{ijk} \delta(g_{ij} g_{jk} g_{ki})$$

- Detect (define) **spontaneous symmetry breaking (SSB)**:

1) **Degenerate ground states** on some M^n . 2) Some symmetry transformations are **not identity** in the groundstate subspace

- The critical point has both the symm G and the dual algebraic $(n-1)$ -symm $\tilde{G}^{(n-1)}$, ie has the **categorical symm** $G \vee \tilde{G}^{(n-1)}$

SSB of (algebraic) higher symm. and topo. order

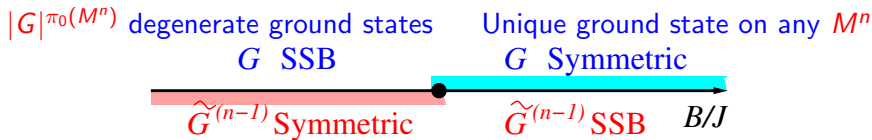
- The ground state degeneracy from SSB of a 0 -symmetry is robust against any local **symmetry preserving perturbations**.
 - *The ground state degeneracy is a property of the symmetry.*
- The ground state degeneracy from SSB of a finite **(algebraic) higher symmetry** is robust against any **local perturbations** that can break the symmetry.
 - *The ground state degeneracy is actually* Hastings-Wen cond-mat/0503554 *not a property of the (algebraic) higher symmetry.*
 - SSB of $U(1)$ 1-symmetry gives rise to gapless $U(1)$ -gauge bosons, which is robust against any local perturbations.
- SSB of finite (algebraic) higher symmetries \rightarrow topological orders
- Some topological orders \rightarrow (1) emergence of an (algebraic) higher symmetry, (2) which is spontaneously broken. Wen arXiv:1812.02517
- Emergent (algebraic) higher symmetry is present in such topological orders and their continuous transition to the neighboring phases.



The dual-equivalence of two symm. $G \overset{\text{dual}}{\sim} \tilde{G}^{(n-1)}$

The following two Hamiltonians are **dual-equivalent**

$$H_{\text{site}} = -J \sum_i \delta(g_i g_j^{-1}) - B \sum_i \sum_{h \in G} T_h(i)$$

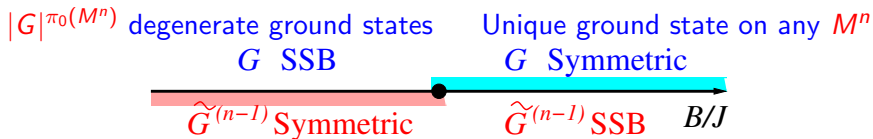


Unique ground states on any M^n Degenerate ground states on some M^n

$$H_{\text{link}} = -J \sum_{ij} \delta(g_{ij}) - B \sum_i \sum_{h \in G} \tilde{T}_h(i) - U_\infty \sum_{ijk} \delta(g_{ij} g_{jk} g_{ik}^{-1})$$

- More generally, an arbitrary H_{site} with 0-symmetry $G \overset{\text{dual}}{\longleftrightarrow}$ a $H'_{\text{link}} - U_\infty \sum_{ijk} \delta(g_{ij} g_{jk} g_{ik}^{-1})$ with the algebraic $(n-1)$ -symmetry, *ie the two Hamiltonians are equivalent, same spectrum etc*
- The 0-symmetry G and the algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ represent the equivalent constraints that select the “same” class of Hamiltonians. We call them **dual-equivalent** symmetries.

G or $\tilde{G}^{(n-1)} \rightarrow$ full categorical symm $G \vee \tilde{G}^{(n-1)}$



Unique ground states on any M^n Degenerate ground states on some M^n

- A **Hamiltonian** with symmetry G also has the dual symmetry $\tilde{G}^{(n-1)}$, and also has the categorical symmetry $G \vee \tilde{G}^{(n-1)}$
- A **Hamiltonian** with algebraic higher symmetry $\tilde{G}^{(n-1)}$ also has the dual symmetry G , and also has the categorical symm $G \vee \tilde{G}^{(n-1)}$
- The **gapped ground state** must spontaneously break part of the categorical symmetry $G \vee \tilde{G}^{(n-1)}$, such as G , $\tilde{G}^{(n-1)}$, or some of their combination.
- The ground state with the full categorical symmetry $G \vee \tilde{G}^{(n-1)}$ must be gapless.



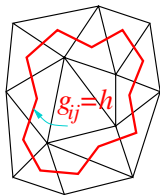
Levin arXiv:1903.09028; Ji-Wen arXiv:1912.13492

Algebraic higher symm $\tilde{G}^{(n-1)}$ & its charge object

- $H_{\text{link}} = -J \sum_{ij} \delta(g_{ij}) - B \sum_i \sum_{h \in G} \tilde{T}_h(i) - U_\infty \sum_{ijk} \delta(g_{ij} g_{jk} g_{ik}^{-1})$

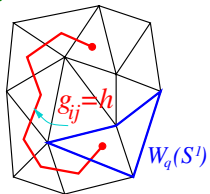
- $H_{\text{link}}|_{B=0}$ has an **unique symmetric ground state** $|\text{grnd}\rangle = \bigotimes_{ij} |g_{ij} = 1\rangle$ on close space M^n of any topology.

- A $(n-1)$ -dimensional excitation h on top of the ground state: chang $g_{ij} = 1$ to $g_{ij} = h$ on a $(n-1)$ -dimensional **closed subspace** = a **charge object** of the algebraic $(n-1)$ -symmetry



- *charge object for 0-symmetry* = **charge-anti-charge pair on S^0** .

- A **charged object** = changing $g_{ij} = 1$ to $g_{ij} = h$ on a $(n-1)$ -dimensional **subspace with boundary**.
The boundary = the gauge flux



- Measure the $(n-1)$ -**charge** of a charged object:

$$W_q(S^1)|h\rangle = \text{Tr} R_q(h)|h\rangle.$$

- h and $h' = ghg^{-1}$ carry the same $(n-1)$ -charge.

A unified theory of symmetry, higher symmetry, and algebraic higher symmetry

- **0-symmetry** is described by **group** G .

Higher symmetry is described by **higher group**.

Gaiotto-Kapustin-Seiberg-Willetts arXiv:1412.5148

What mathematics describes **algebraic higher symmetry**?

- We plan to use charged excitations to describe symmetry, higher symmetry, and algebraic higher symmetry in a unified way.

- **0-symmetry**:

charge object = charge-anti-charge pair S^0 .

charged object = single point (part of S^0).

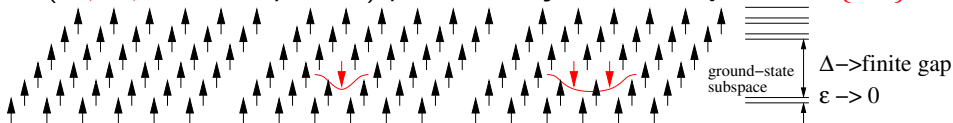
- **1-symmetry**:

charge object = loop excitations S^1 .

charged object = open-string excitations (part of S^1).

- **Algebraic higher symmetry**: charged objects = point-like, string-like, membrane like excitations \rightarrow **higher fusion category**.

- A **symmetric quantum system**: Hamiltonian $H = -\sum_i Z_i$ (X_i, Y_i, Z_i Pauli operator) plus **local symmetric operators** $\{\delta H\}$.



- **An excitation** = something can be trapped H has a gap
 by $\delta H_{\text{trap}} = 2Z_{i_0}$ or $\delta H_{\text{trap}} = 2(\cos \theta Z_{i_0} + \sin \theta X_{i_0})$.
 $H + \delta H_{\text{trap}}$ also has a gap \rightarrow trapped states: $|\downarrow\rangle, |\downarrow\downarrow\rangle, \dots$

- **Type**: (determined by H & local symmetric operators $\{\delta H\}$)

- Without symmetry ($\{\delta H\}$ are formed by any local operators)

$|\downarrow\rangle, |\downarrow\downarrow\rangle$ can be deformed into $|0\rangle$ without closing the gap.

$|\downarrow\rangle \sim |\downarrow\downarrow\rangle \sim |0\rangle$ the same trivial type **1**.

- With \mathbb{Z}_2 -symm. $U = \prod_i Z_i$ (symm. operator $\{\delta H \mid \delta H U = U \delta H\}$)

$|\downarrow\downarrow\rangle$ and $|0\rangle$ are of the same trivial type **1** (\mathbb{Z}_2 -charge-0).

$|\downarrow\rangle$ has a different type **e** (\mathbb{Z}_2 -charge-1).

2+1D charged excitations form a fusion 2-category

- In 2+1D model with the \mathbb{Z}_2 -symmetry, the excitation fusion rule:
 $\mathbf{1} \otimes \mathbf{1} = \mathbf{1}$, $\mathbf{1} \otimes e = e \otimes \mathbf{1} = e$, $\mathbf{1}$ = the fusion unit
 $e \otimes e = \mathbf{1}$, $e = \mathbb{Z}_2$ -charge (-representation) with mod-2 conservation
- $\{\mathbf{1}, e\}$ generate a **fusion 2-category** $2\mathcal{R}ep_{\mathbb{Z}_2}$ in 2+1D:
 - string-like excitations ($\{\mathbf{1}_s, e_s\}$ *trivial, descendent*) \rightarrow objects.
 $e_s = e$ -condensed & spontaneous \mathbb{Z}_2 -symm. breaking string.
 e_s is called **descendant excitation** Kong-Wen arXiv:1405.5858
Gaiotto & Johnson-Frey arXiv:1905.09566
 - point-like excitations $\{\mathbf{1}, e\}$ **elementary** \rightarrow 1-morphisms $\mathbf{1}_s \rightarrow \mathbf{1}_s$
domain-wall excitations $\mathbf{1}_s \rightarrow e_s \rightarrow \mathbf{1}_s$ 1-morphisms $\mathbf{1}_s \rightarrow e_s$.
 - instantons in spacetime connecting point-like excitations \rightarrow
2-morphisms = top morphisms = local symmetric operators $\{\delta H\}$.
- 2 layers of morphisms \rightarrow a fusion 2-category $2\mathcal{R}ep_{\mathbb{Z}_2}$.



1+1D charged excitations form a fusion 1-category

- 1+1D \mathbb{Z}_2 -symmetric model: the point-like excitations $\{\mathbf{1}, e\}$ have the same fusion rule $e \otimes e = \mathbf{1}$. $e = \mathbb{Z}_2$ charge (representation).
- $\{\mathbf{1}, e\}$ generate a **fusion 1-category** $\mathcal{R}ep_{\mathbb{Z}_2}$ in 1+1D.
 - bosonic point-like excitations $\{\mathbf{1}, e\} \rightarrow$ objects.
 - instantons in spacetime connecting point-like excitations \rightarrow 1-morphisms = top morphisms = local symmetric operators $\{\delta H\}$.

1+1D charged excitations form a fusion 1-category

- 1+1D \mathbb{Z}_2 -symmetric model: the point-like excitations $\{\mathbf{1}, e\}$ have the same fusion rule $e \otimes e = \mathbf{1}$. $e = \mathbb{Z}_2$ charge (representation).
- $\{\mathbf{1}, e\}$ generate a **fusion 1-category** $\mathcal{R}ep_{\mathbb{Z}_2}$ in 1+1D.
 - bosonic point-like excitations $\{\mathbf{1}, e\} \rightarrow$ objects.
 - instantons in spacetime connecting point-like excitations \rightarrow 1-morphisms = top morphisms = local symmetric operators $\{\delta H\}$.
- **Tannaka duality**: The above fusion 1-category is a **symmetric fusion category** $\mathcal{E} \leftrightarrow$ finite group G .
Objects = R_q representations of G . We denote $\mathcal{E} = \mathcal{R}ep(G)$.
- In $(n+1)$ D, charged excitations described by fusion n -category $n\mathcal{R}ep(G) \leftrightarrow (n+1)$ D 0-symmetry G

1+1D charged excitations form a fusion 1-category

- 1+1D \mathbb{Z}_2 -symmetric model: the point-like excitations $\{\mathbf{1}, e\}$ have the same fusion rule $e \otimes e = \mathbf{1}$. $e = \mathbb{Z}_2$ charge (representation).
- $\{\mathbf{1}, e\}$ generate a **fusion 1-category** $\mathcal{R}ep_{\mathbb{Z}_2}$ in 1+1D.
 - bosonic point-like excitations $\{\mathbf{1}, e\} \rightarrow$ objects.
 - instantons in spacetime connecting point-like excitations \rightarrow 1-morphisms = top morphisms = local symmetric operators $\{\delta H\}$.
- **Tannaka duality**: The above fusion 1-category is a **symmetric fusion category** $\mathcal{E} \leftrightarrow$ finite group G .
Objects = R_q representations of G . We denote $\mathcal{E} = \mathcal{R}ep(G)$.
 - In $(n+1)$ D, charged excitations described by fusion n -category $n\mathcal{R}ep(G) \leftrightarrow (n+1)$ D 0-symmetry G
- **Categorical description of symmetry**: an $n+1$ D (algebraic higher) symmetry is describe by a fusion n -category
Fusion rule \leftrightarrow **conservation law** \leftrightarrow **symmetry**
 - **Examples**: (1) $n+1$ D 0-symmetry $G \leftrightarrow n\mathcal{R}ep_G$.
(2) $n+1$ D algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)} \leftrightarrow n\mathcal{V}ec_G$.

Fusion higher category for excitations in topo order

The point-like, string-like, *etc* excitations in a topological order are also described by a fusion higher category \mathcal{C} .

- Excitations in 2+1D \mathbb{Z}_2 **topological order** (\mathbb{Z}_2 gauge theory with charges, or toric code) \rightarrow a fusion 2-category denoted as $\mathcal{G}_{\mathbb{Z}_2}^2$:
 - **2-morphisms** = top morphisms = local operators $\{\delta H\}$.
 - **1-morphisms** = point-like excitations, domain walls.
 - 3 bosons: $\mathbf{1}$, e , m ; 1 fermion: f (**elementary excitations**).
 - $e \otimes e = \mathbf{1}$ \rightarrow mod-2 conservation of e -particles $\rightarrow \mathbb{Z}_2^e$ symmetry.
 - $m \otimes m = \mathbf{1}$ \rightarrow mod-2 conservation of m -particles $\rightarrow \mathbb{Z}_2^m$ symmetry.
 - $f \otimes f = \mathbf{1}$ \rightarrow mod-2 conservation of f -particles $\rightarrow \mathbb{Z}_2^f$ symmetry.
 - **Objects** = string-like excitations = $\{\mathbf{1}_s, e_s, m_s, f_s\}$ (**descendent**)
 - e_s -string: e -condensation, \mathbb{Z}_2^e SSB.
 - m_s -string: m -condensation, \mathbb{Z}_2^m SSB.
 - f_s -string: f -condensation, \mathbb{Z}_2^f SSB.
- In 1+1D, fermion can condensed $\rightarrow p$ -wave topo. superconductor*

Kitaev cond-mat/0010440

Which fusion higher categories describe symmetry

- Fusion 2-category $\mathcal{G}_{\mathbb{Z}_2}^2$ describes a 2+1D topological order with no symmetry
- Fusion 2-category $2\mathcal{Rep}_{\mathbb{Z}_2}$ describes a 2+1D product state (trivial topological order) with \mathbb{Z}_2 symmetry

Which fusion higher categories describe symmetry

- Fusion 2-catgeory $\mathcal{G}_{\mathbb{Z}_2}^2$ describes a 2+1D topological order with no symmetry \rightarrow **cannot be mapped to $2\mathcal{V}ec$**
- Fusion 2-catgeory $2\mathcal{R}ep_{\mathbb{Z}_2}$ describes a 2+1D product state (trivial topological order) with \mathbb{Z}_2 symmetry \rightarrow **can be mapped to $2\mathcal{V}ec$**
- For S_3 symmetry, $2\mathcal{R}ep_{S_3}$ has 3 types of particles:
(3 types of S_3 -charge, or 3 S_3 irreducible representations)
(1) **1** trivial rep. (2) **a** 1-dim. rep. (3) **b** 2-dim. rep.
- $2\mathcal{V}ec$ has 1 type of particles: (1) **1** trivial
- A map β for $2\mathcal{R}ep_{S_3}$ to $2\mathcal{V}ec$ (particles to particles at 1-morphism level): **1** \rightarrow **1**, **a** \rightarrow **1**, **b** \rightarrow **1** \oplus **1** (accidental degeneracy)
- A map β for $2\mathcal{R}ep_{S_3}$ to $2\mathcal{V}ec$ (operators to operators at 2-morphism level): **local symmetric operators** \rightarrow **local operators**
- The \mathbb{Z}_2 topological order $\mathcal{G}_{\mathbb{Z}_2}^2$ contains fermions and cannot be mapped to trivial topological order $2\mathcal{V}ec$ with only bosons.

A theory for the most general symmetry

0-symmetry is classified by group G .

Higher symmetry is classified by higher group.

Kong-Lan-Wen-Zhang-Zheng arXiv:2005.14178

- **Algebraic higher symmetry in $n+1$ D is classified by local fusion n -category \mathcal{R}** , which is fusion n -category that has a top-faithful functor to trivial fusion n -category $n\mathcal{Vec}$:

$$\beta : \mathcal{R} \xrightarrow{\text{top}} n\mathcal{Vec}.$$

This includes symmetry and higher symmetry.

- **top-faithful** means the map β is injective at the top morphisms level (ie the map **local symmetric operators** \rightarrow **local operators** is injective).
- Physically, the functor β means “ignore the symmetry” or “explicitly break the symmetry”. The charged excitations \mathcal{R} of a symmetry map to the excitations $n\mathcal{Vec}$ (with possible accidental degeneracy) in trivial product state, (such as spin-1/2 \rightarrow $\mathbf{1} \oplus \mathbf{1}$)

A second theory for algebraic higher symmetry

- Fusion 2-catgeory $\mathcal{G}_{\mathbb{Z}_2}^2$ describes a 2+1D topological order with no symmetry
- Fusion 2-catgeory $2\mathcal{R}ep_{\mathbb{Z}_2}$ describes a 2+1D product state (trivial topological order) with \mathbb{Z}_2 symmetry

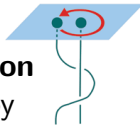
A second theory for algebraic higher symmetry

- Fusion 2-catgeory $\mathcal{G}_{\mathbb{Z}_2}^2$ describes a 2+1D topological order with no symmetry \rightarrow **non-degenerate**
- Fusion 2-catgeory $2\text{Rep}_{\mathbb{Z}_2}$ describes a 2+1D product state (trivial topological order) with \mathbb{Z}_2 symmetry \rightarrow **degenerate**

Levin arXiv:1301.7355, Kong-Wen arXiv:1405.5858

- **Non-degenerate = remotely detectable:**

Every non-trivial **elementary** excitation is **remotely detectable** by at least one excitation via remote operations (such as braiding) \leftrightarrow the topological order is realizable by **lattice model in the same dimension without symmetry** \leftrightarrow the topological order is realizable by a **boundary of trivial topological order** (product state) in one higher dimension **without symmetry = realizable w/o symm.**



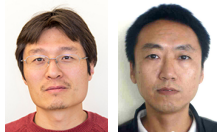
- Non-degenerate = realizable = trivial bulk \rightarrow no symmetry
- Degenerate = not realizable = bulk topo. order \rightarrow symmetry

Symmetry as a shadow of topological order

A fusion higher category \mathcal{C} describes excitations in a topological order with or without symmetry. *How to see the symmetry?*

Holographic principle of topological order

- **A conjecture:** A fusion n -category \mathcal{C} can always be realized by the excitations on a certain boundary of a qubit system in one higher dimension (ie in $(n+1)$ -dimensional space).
- **Another conjecture:** A boundary fusion n -category \mathcal{C} uniquely determines a bulk topological order $\mathcal{M} = Z_1(\mathcal{C})$.
Kong-Wen arXiv:1405.5858; Kong-Wen-Zheng arXiv:1702.00673
- \mathcal{M} is the braided fusion n -category describing codimension-2 and higher excitations in the bulk topological order.
- Z_1 is the E_1 center (Drinfeld center for $n=1$).
- **Symmetry = topological order in one higher dimension**
The symmetry in fusion higher category \mathcal{C} is given by $\mathcal{M} = Z_1(\mathcal{C})$.
- $\mathcal{M} = n\mathcal{Vec}$ means no symmetry.



2+1D \mathbb{Z}_2 symm as a shadow of 3+1D topo order

2+1D \mathbb{Z}_2 -symmetry = Fusion 2-category $2\mathcal{R}ep_{\mathbb{Z}_2}$

Under the holographic point of view:

2+1D \mathbb{Z}_2 -symm = 3+1D topo. order $\mathcal{Z}_1[2\mathcal{R}ep_{\mathbb{Z}_2}] = \mathcal{G}_{\mathbb{Z}_2}^3$

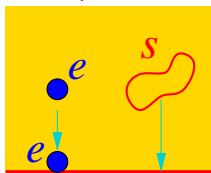
which is a **3+1D \mathbb{Z}_2 gauge theory**

- The **elementary** excitations in 3+1D \mathbb{Z}_2 -gauge theory point-like excitations e (the bosonic \mathbb{Z}_2 charge) and string-like excitations s (the bosonic \mathbb{Z}_2 -flux string)
- Bulk fusion rule: $e \otimes e = \mathbf{1}$, $s \otimes s = \mathbf{1}_s$ (trivial string)

- $2\mathcal{R}ep_{\mathbb{Z}_2}$ is a boundary of $\mathcal{G}_{\mathbb{Z}_2}^3$, induced by the \mathbb{Z}_2 -flux loop condensation, ie the boundary excitations are described by $\{\mathbf{1}, e\} = 2\mathcal{R}ep_{\mathbb{Z}_2}$.

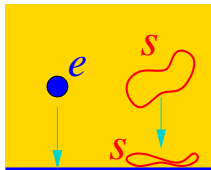
- **Meaning of 2+1D symmetry = 3+1D topo order**

The class of **2 + 1D \mathbb{Z}_2 -symm. Hamiltonians** = The class of boundary Hamiltonians of **3 + 1D \mathbb{Z}_2 gauge theory** (∞ bulk gap)



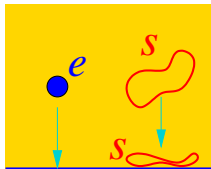
2+1D $\mathbb{Z}_2^{(1)}$ symm as a shadow of 3+1D topo order

- 2 + 1D $\mathbb{Z}_2^{(1)}$ -symmetry = Fusion 2-category $2\mathcal{V}ec_{\mathbb{Z}_2}$
- The objects in $2\mathcal{V}ec_{\mathbb{Z}_2}$ = the string-like excitations, the charge-object of $\mathbb{Z}_2^{(1)}$ 1-symm, labeled by the elements in group \mathbb{Z}_2 .
- Under the holographic point of view:
2+1D $\mathbb{Z}_2(1)$ -symm = 3+1D topo. order $\mathcal{Z}_1[2\mathcal{V}ec_{\mathbb{Z}_2}] = \mathcal{G}_{\mathbb{Z}_2}^3$
which is a 3+1D \mathbb{Z}_2 gauge theory
- $2\mathcal{V}ec_{\mathbb{Z}_2}$ is a boundary of $\mathcal{G}_{\mathbb{Z}_2}^3$, induced by the \mathbb{Z}_2 -charge condensation, ie the boundary excitations are described by $\{\mathbf{1}, s\} = 2\mathcal{V}ec_{\mathbb{Z}_2}$.



2+1D $\mathbb{Z}_2^{(1)}$ symm as a shadow of 3+1D topo order

- 2 + 1D $\mathbb{Z}_2^{(1)}$ -symmetry = Fusion 2-category $2\mathcal{V}ec_{\mathbb{Z}_2}$
- The objects in $2\mathcal{V}ec_{\mathbb{Z}_2}$ = the string-like excitations, the charge-object of $\mathbb{Z}_2^{(1)}$ 1-symm, labeled by the elements in group \mathbb{Z}_2 .
- Under the holographic point of view:
2+1D $\mathbb{Z}_2(1)$ -symm = 3+1D topo. order $\mathcal{G}_{\mathbb{Z}_2}^3$
which is a 3+1D \mathbb{Z}_2 gauge theory
- $2\mathcal{V}ec_{\mathbb{Z}_2}$ is a boundary of $\mathcal{G}_{\mathbb{Z}_2}^3$, induced by the \mathbb{Z}_2 -charge condensation, i.e. the boundary excitations are described by $\{\mathbf{1}, s\} = 2\mathcal{V}ec_{\mathbb{Z}_2}$.
- In $n + 1$ D, the symmetry G and its dual algebraic $(n - 1)$ -symmetry $\tilde{G}^{(n-1)}$ are described by the same topological order in one higher dimension \mathcal{G}_G^n – the $n + 2$ D G -gauge theory.
- **Topo order in one higher dimension = Categorical symmetry**
 G and $\tilde{G}^{(n-1)}$ have the same categorical symm: $\mathcal{G}_G^n = G \vee \tilde{G}^{(n-1)}$,
and are **dual-equivalent**.



Boundary symmetry from bulk topological order

- The mod 2 conservation of the bulk particle $e \rightarrow$ The \mathbb{Z}_2 0-symmetry in the bulk.

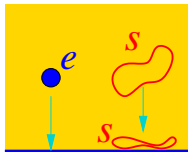
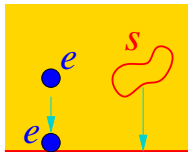
The mod 2 conservation of the bulk string $s \rightarrow$ The $\mathbb{Z}_2^{(1)}$ 1-symmetry in the bulk

- The \mathbb{Z}_2 and $\mathbb{Z}_2^{(1)}$ symmetry in the bulk becomes $\{1, e\} = 2\text{Rep}_{\mathbb{Z}_2}$ the \mathbb{Z}_2 and $\mathbb{Z}_2^{(1)}$ symmetry on the boundary.

- Such a larger symmetry $\mathbb{Z}_2 \vee \mathbb{Z}_2^{(1)} =$ **Categorical symmetry**.

- String- s condensed boundary: \mathbb{Z}_2 0-symmetry is not broken, $\mathbb{Z}_2^{(1)}$ 1-symmetry is spontaneously broken.
- Particle- e condensed boundary: \mathbb{Z}_2 0-symmetry is spontaneously broken, $\mathbb{Z}_2^{(1)}$ 1-symmetry is not broken.

- The boundary with no String- s condensation, nor particle- e condensation is gapless and has the full categorical symmetry $\mathbb{Z}_2 \vee \mathbb{Z}_2^{(1)} = \mathcal{G}_{\mathbb{Z}_2}^3 (= 3 + 1\text{D } \mathbb{Z}_2\text{-gauge theory with charges})$.



Boundary symmetry from bulk topological order

- A general algebraic higher symmetry is described by fusion rule in a local fusion higher category \mathcal{R} . It is a shadow topological order in one higher dimension given by $\mathcal{M} = Z_1(\mathcal{R})$.

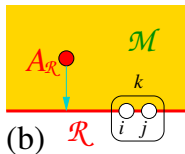
- **Physical meaning:** \mathcal{R} describes the excitations on a boundary of bulk topological order $\mathcal{M} = Z_1(\mathcal{R})$.

- Algebraic higher symmetry \mathcal{R} implies a “larger” categorical symmetry $\mathcal{M} = Z_1(\mathcal{R})$. *The fusion rule of the excitations in \mathcal{M} gives to the categorical symmetry.*

- The \mathcal{R} boundary of the bulk \mathcal{M} is obtained by condensing a set of excitations $A_{\mathcal{R}}$. The uncondensed part is the symmetry \mathcal{R} . Thus $\mathcal{R} = \mathcal{M}/A_{\mathcal{R}}$.

- If nothing condense at the boundary, we have a gapless boundary with the full categorical symmetry \mathcal{M} . Ji-Wen arXiv:1912.13492

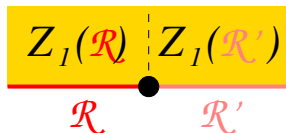
Proposal: gapless states are fully described by categorical symmetry



Properties of algebraic higher symmetries

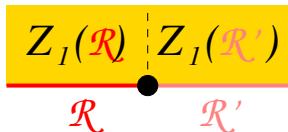
- Two algebraic higher symmetries \mathcal{R} and \mathcal{R}' are dual equivalent if they have the same categorical symmetry (ie bulk topo. order): $Z_1(\mathcal{R}) = Z_1(\mathcal{R}')$.

Kong-Lan-Wen-Zhang-Zheng arXiv:2005.14178



Properties of algebraic higher symmetries

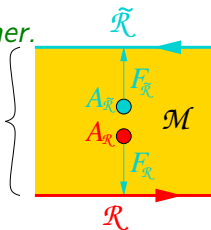
- Two algebraic higher symmetries \mathcal{R} and \mathcal{R}' are dual equivalent if they have the same categorical symmetry (ie bulk topo. order): $Z_1(\mathcal{R}) = Z_1(\mathcal{R}')$.



Kong-Lan-Wen-Zhang-Zheng arXiv:2005.14178

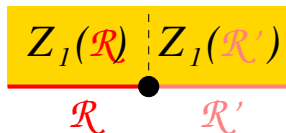
We have seen that G and $\tilde{G}^{(n-1)}$ are dual to each other.
Or $n\text{Rep}_G$ and $n\text{Vec}_G$ are dual to each other.

- Two algebraic higher symmetries \mathcal{R} and $\tilde{\mathcal{R}}$ are dual to each other if $Z_1(\mathcal{R}) = Z_1(\tilde{\mathcal{R}})$ and their stacking through $\mathcal{M} = Z_1(\mathcal{R}) = Z_1(\tilde{\mathcal{R}})$ is a trivial topological order.



Properties of algebraic higher symmetries

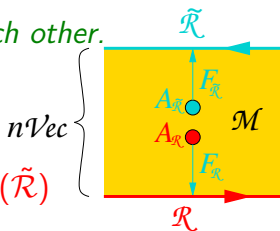
- Two algebraic higher symmetries \mathcal{R} and \mathcal{R}' are dual equivalent if they have the same categorical symmetry (ie bulk topo. order): $Z_1(\mathcal{R}) = Z_1(\mathcal{R}')$.



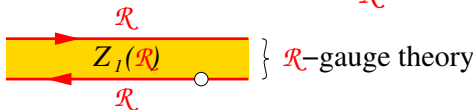
Kong-Lan-Wen-Zhang-Zheng arXiv:2005.14178

We have seen that G and $\tilde{G}^{(n-1)}$ are dual to each other.
Or $n\text{Rep}_G$ and $n\text{Vec}_G$ are dual to each other.

- Two algebraic higher symmetries \mathcal{R} and $\tilde{\mathcal{R}}$ are dual to each other if $Z_1(\mathcal{R}) = Z_1(\tilde{\mathcal{R}})$ and their stacking through $\mathcal{M} = Z_1(\mathcal{R}) = Z_1(\tilde{\mathcal{R}})$ is a trivial topological order.



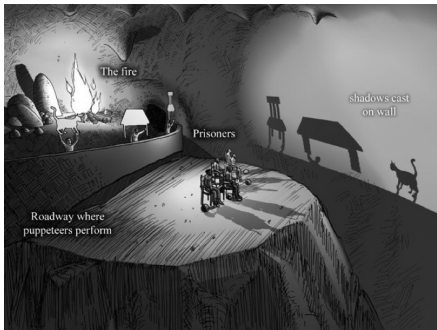
We can also “gauge” an algebraic higher symmetry \mathcal{R} to obtain a topological order of the same dimension.



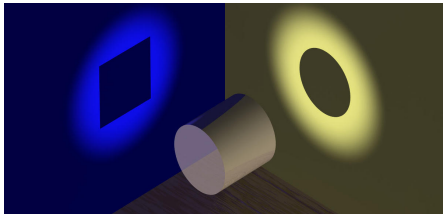
- The topological order from the gauging algebraic higher symmetry \mathcal{R} is given by stacking two \mathcal{R} through $\mathcal{M} = Z_1(\mathcal{R})$

The essence of a symmetry

A symmetry is the shadow of a topological order in one higher dimension (*ie* categorical symmetry)



Categorical symmetry
→ symmetry and dual symmetry



The same topological order (in one higher dimensions) can have different shadows → **dual-equivalent** symmetries.

Alg-higher-symm, Cat-symm, and Bulk-topo-order

- A **gapless state** is very special, and has a lot of emergent symmetries. The full (?) emergent symmetry is the **maximal categorical symmetry**
- A **categorical symmetry** is a **topological order** in one higher dimension.
- **Maximal categorical symmetry** (*ie topological order* in one higher dimension) may completely (?) determines a **gapless state**.
- We can classify all **gapped liquid phases** in systems with a **categorical symmetry**. Such a classification includes
 - SETs with algebraic higher symmetry
 - SPTs with algebraic higher symmetry
- Gauge the algebraic higher symmetry
- Anomalous algebraic higher symmetry

Ji-Wen arXiv:1912.13492



Kong-Lan-Wen-Zhang-Zheng arXiv:2005.14178

