

An elementary derivation of the periodic table of topological matter

Gian Michele Graf, ETH Zurich

Harvard Mathematical Picture Language Seminar
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Based on joint work with **Filippo Santi**

Outline

The periodic table of topological matter

Plan of the proof

Derivation

The periodic table of topological matter

Plan of the proof

Derivation

The table, whatever it means

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CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

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Work by: Altland/Zirnbauer, Ryu/Schnyder/Furusaki/Ludwig, Kitaev, Schulz-Baldes, Thiang, Freed/Moore, Kellendonk

What is the table about? The broad picture

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 - ▶ Symmetries occur in combinations
 - ▶ Rule “2 symmetries make 3”: $\Pi = \Theta\Sigma = \Sigma\Theta$

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 - ▶ Symmetries occur in combinations
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1: no symmetry	2: $S = \Theta, \Sigma$
2: $\sigma_S = \pm 1$	2: Π present/absent

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- ▶ **Altogether:** Cartan-Altland-Zirnbauer (CAZ) classes (X, d)

What is the table about? The answer

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- ▶ **Meaning:** Within each CAZ class (X, d) , its connected components are labelled by elements $g \in G$
- ▶ In each case, $G = 0, \mathbb{Z}$, or \mathbb{Z}_2 . Objects corresponding to
 - ▶ $g = 0$ are topologically trivial;
 - ▶ $g \neq 0$ are interesting.

The periodic table of topological matter

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Notation for symmetries $S = \Theta, \Sigma, \Pi$:

- ▶ 0 absent, ± 1 present
- ▶ (including parity, if applicable)

What to notice about the table

It's actually two tables (**complex** vs. **real** classes):

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AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
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CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
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D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
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CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Each table

- ▶ repeats periodically in the **columns** (periods 2, 8)
- ▶ has constant entries along **diagonals**

What to notice about the table

First row of each table repeated at **bottom**

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A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
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AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
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CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

Tables are periodic in the **rows**, too

Classes that are physically realized (a selection)

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AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
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BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
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C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Index $n \in \mathbb{Z}$ is Chern number; Integer Quantum Hall effect and Thouless pumps ($d = 1 + 1$)

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BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Index $n \in \mathbb{Z}$ is 2nd Chern number; generalized Thouless pump ($d = 3 + 1$; proposal)

Classes that are physically realized

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Classes that are physically realized

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Index $n \in \mathbb{Z}$ is chiral; Su-Schrieffer-Heeger model; cavity polaritons

Classes that are physically realized

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Classes that are physically realized

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Index $s \in \mathbb{Z}_2 = \{0, 1\}$; Kitaev chain; topological phase $s = 1$ has Majorana edge modes

Classes that are physically realized

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

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CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
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C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Index $s \in \mathbb{Z}_2 = \{0, 1\}$ is Fu-Kane-Mele index

$d = 2$: Kane-Mele model; HgTe quantum wells

$d = 3$: BiSb alloys

What are the **objects** being classified?

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Next: What is the **mathematical** formulation?

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Corresponding structures:

- ▶ Brillouin zone $\mathbb{T}^d \ni k$: Torus of quasi-momenta
- ▶ Orbital space $\mathfrak{h} = \mathbb{C}^N$
- ▶ Bloch bundle $B = \mathbb{T}^d \times \mathfrak{h}$ (ambient bundle, trivial)
- ▶ Hilbert space

$$\mathcal{H} = L^2(\mathbb{T}^d; \mathfrak{h}) = \{\psi \mid \text{sections of } B\}$$

- ▶ Hamiltonian is fibered

$$H = \int_{\mathbb{T}^d}^{\oplus} H(k) dk, \quad H(k) : \mathfrak{h} \rightarrow \mathfrak{h}, \quad H(k)^2 = 1$$

- ▶ Spectral and bundle decompositions

$$H = P^+ - P^-, \quad E_k^{\pm} = \text{ran } P^{\pm}(k), \quad B = E^+ \oplus E^-$$

Symmetry protected Hamiltonians

- Symmetries act on quasi-momenta

$$\mathbb{T}^d \rightarrow \mathbb{T}^d, \quad k \mapsto \tau k := \begin{cases} -k, & (\mathcal{S} = \Theta, \Sigma), \\ k, & (\mathcal{S} = \Pi) \end{cases}$$

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compatibly with $\Pi = \Theta\Sigma$. Spelled out:

$$H(-k)\Theta = \Theta H(k), \quad H(-k)\Sigma + \Sigma H(k) = 0, \quad H(k)\Pi + \Pi H(k) = 0$$

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$$H(-k)\Theta = \Theta H(k), \quad H(-k)\Sigma + \Sigma H(k) = 0, \quad H(k)\Pi + \Pi H(k) = 0$$

- The **objects** classified by the table are the above **Hamiltonians**,
 - ▶ for one class (X, d) at a time,

Symmetry protected Hamiltonians

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$$\mathbb{T}^d \rightarrow \mathbb{T}^d, \quad k \mapsto \tau k := \begin{cases} -k, & (S = \Theta, \Sigma), \\ k, & (S = \Pi) \end{cases}$$

- Given a combination X of symmetries, picked among Θ, Σ, Π , the Hamiltonian H is **symmetric** if

$$[H, \Theta] = 0, \quad \{H, \Sigma\} = 0, \quad \{H, \Pi\} = 0$$

compatibly with $\Pi = \Theta\Sigma$. Spelled out:

$$H(-k)\Theta = \Theta H(k), \quad H(-k)\Sigma + \Sigma H(k) = 0, \quad H(k)\Pi + \Pi H(k) = 0$$

- The **objects** classified by the table are the above **Hamiltonians**,
 - ▶ for one class (X, d) at a time,
 - ▶ up to homotopy,

Symmetry protected Hamiltonians

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$$\mathbb{T}^d \rightarrow \mathbb{T}^d, \quad k \mapsto \tau k := \begin{cases} -k, & (S = \Theta, \Sigma), \\ k, & (S = \Pi) \end{cases}$$

- Given a combination X of symmetries, picked among Θ, Σ, Π , the Hamiltonian H is **symmetric** if

$$[H, \Theta] = 0, \quad \{H, \Sigma\} = 0, \quad \{H, \Pi\} = 0$$

compatibly with $\Pi = \Theta\Sigma$. Spelled out:

$$H(-k)\Theta = \Theta H(k), \quad H(-k)\Sigma + \Sigma H(k) = 0, \quad H(k)\Pi + \Pi H(k) = 0$$

- The **objects** classified by the table are the above **Hamiltonians**,
 - ▶ for one class (X, d) at a time,
 - ▶ up to homotopy,
 - ▶ and some details to be supplied later.

The periodic table of topological matter

Plan of the proof

Derivation

Rough plan of the proof

Constancy of index groups along diagonals

Rough plan of the proof

Constancy of index groups along diagonals to be explained by diagonal maps (**group isomorphisms**)

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

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Constancy of index groups along diagonals to be explained by diagonal maps (**group isomorphisms**)

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

Compute 0th column as induction base.

Rough plan of the proof

Group isomorphisms to be constructed for any pair of adjacent rows

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

Rough plan of the proof

Group isomorphisms to be constructed for any pair of adjacent rows

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

For a given pair of rows, a same construction applies to all d

Rough plan of the proof

Group isomorphisms to be constructed for any pair of adjacent rows

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

For a given pair of rows, a **same** construction applies to all d , up to two **exceptions** ($D \rightarrow BDI$, $C \rightarrow CII$).

Time to supply some details I: Minimal objects

Fibered Hamiltonian $H = H(k)$, ($k \in \mathbb{T}^d$) and symmetries $S = \Theta, \Sigma, \Pi$

$$H(-k)\Theta = \Theta H(k), \quad \underline{H(-k)\Sigma = -\Sigma H(k)}, \quad H(k)\Pi = -\Pi H(k)$$

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In general: For each class (X, d) , there is

- ▶ a normal form of its symmetries
- ▶ a **trivial** (minimal, but non-zero) **Hamiltonian** H_0

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Spectral decomposition of Hamiltonian H and bundle decomposition of ambient bundle B

$$H = P^+ - P^-, \quad E_k^\pm = \text{ran } P^\pm(k), \quad B = E^+ \oplus E^-$$

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Bundle decomposition as an equivariant bundle:

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- ▶ Equivalence of Hamiltonians is passed down
- ▶ For $S = \emptyset, \Theta$ (no or lone symmetry), bundle E^- ($\leftrightarrow P^-$) is trivial (w.l.o.g.), so

$$(P^+, P^-) \sim (P, 1)$$

Reformulations of objects II: As unitaries

Chiral classes X contain the symmetry Π .

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Chiral classes X contain the symmetry Π . Every second class is chiral:

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
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Chiral classes X contain the symmetry Π .

$$\Pi = \sigma_3 \otimes 1, \quad H = \begin{pmatrix} 0 & U^* \\ U & 0 \end{pmatrix}$$

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“Their only index is strong.”

The periodic table of topological matter

Plan of the proof

Derivation

Complex classes

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Derivation will serve as blueprint for real classes.

Complex classes: From non-chiral to chiral classes

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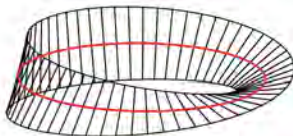
(We'll give definition of map, not proof of isomorphism)

The idea you all know (analogy)

Real line bundles over the **circle** ($k \in \mathbb{R} \bmod 2\pi\mathbb{Z}$).

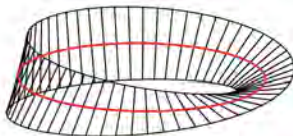
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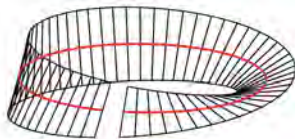
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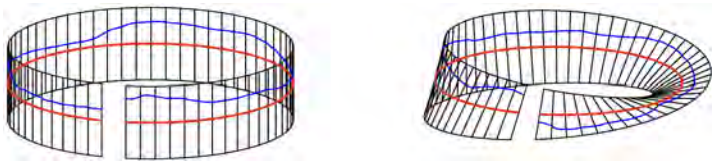


How to tell them apart?

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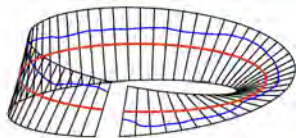
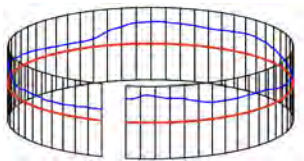


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- ▶ Cut. Then draw a continuous **section** V_k never crossing the **circle**; relate its restrictions V_π and $V_{-\pi}$ at endpoints by a transition scalar $T \neq 0$: $V_\pi = V_{-\pi} T$.

The idea you all know (analogy)

Real line bundles over the **circle** ($k \in \mathbb{R} \bmod 2\pi\mathbb{Z}$). Two kinds thereof:

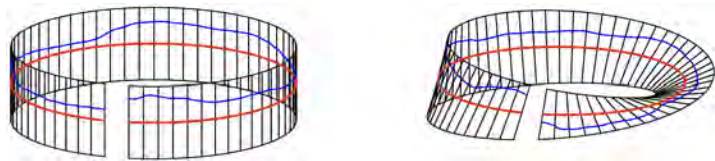


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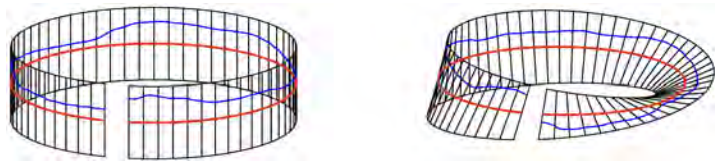


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How to tell them apart?

- ▶ Cut. Then draw a continuous **section** V_k never crossing the **circle**; relate its restrictions V_π and $V_{-\pi}$ at endpoints by a transition scalar $T \neq 0$: $V_\pi = V_{-\pi} T$.
- ▶ If untwisted, $T > 0$; if twisted, $T < 0$. Yields \mathbb{Z}_2 index, since $\mathbb{R} \setminus \{0\}$ has two connected components.
- ▶ For a complex line bundle: No index, since $\mathbb{C} \setminus \{0\}$ is connected; cf. $[A, d = 1] = 0$.

The diagonal maps from A to AIII

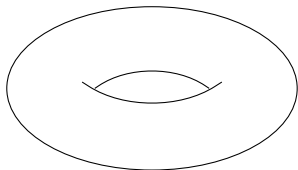
Symmetry		d							
CAZ Class	Π	0	1	2	3	4	5	6	7
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0

Need map $E \mapsto U$

The diagonal maps from A to All

Symmetry		d								
CAZ Class	Π	0	1	2	3	4	5	6	7	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
All	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	

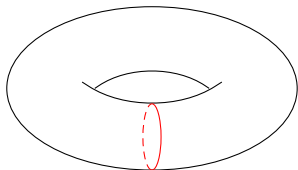
Need map $E \mapsto U$



The diagonal maps from A to All

Symmetry		d								
CAZ Class	Π	0	1	2	3	4	5	6	7	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
All	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	

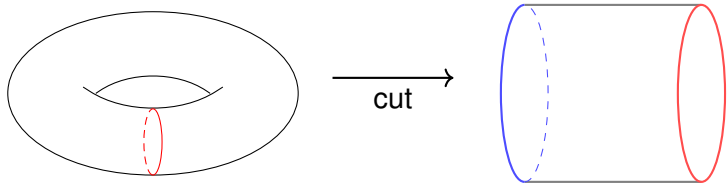
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The diagonal maps from A to All

Symmetry		d								
CAZ Class	Π	0	1	2	3	4	5	6	7	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
All	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	

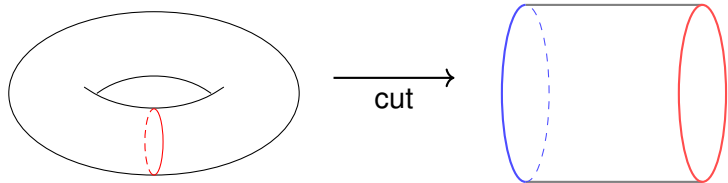
Need map $E \mapsto U$



The diagonal maps from A to All

Symmetry		d								
CAZ Class	Π	0	1	2	3	4	5	6	7	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
All	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	

Need map $E \mapsto U$

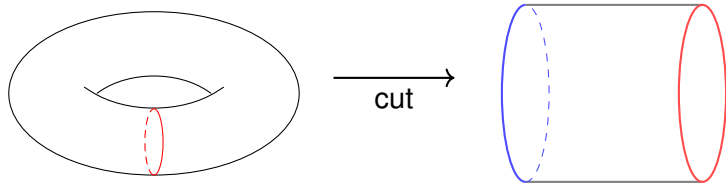


- Cut:** View torus \mathbb{T}^d as cylinder \mathbb{T}^d with identified ends

The diagonal maps from A to All

Symmetry		d								
CAZ Class	Π	0	1	2	3	4	5	6	7	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
All	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	

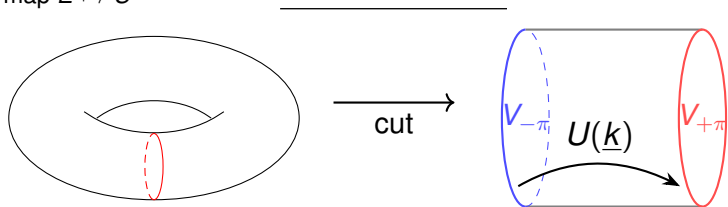
Need map $E \mapsto U$



- Cut:** View torus as cylinder with identified ends: $\mathbb{T}^d \cong \mathbb{T}^d / \sim$.

The diagonal maps from A to AIII

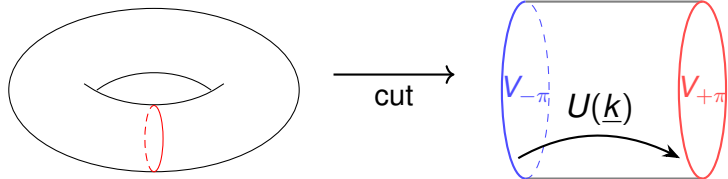
Need map $E \mapsto U$



1. **Cut:** View torus as cylinder with identified ends: $\mathbb{T}^d \cong \dot{\mathbb{T}}^d / \sim$. Let $E \rightarrow \mathbb{T}^d$ and $\dot{E} \rightarrow \dot{\mathbb{T}}^d$ the bundles before and after cutting.

The diagonal maps from A to AIII

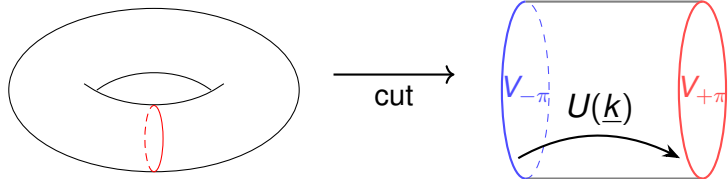
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2. **Global frame:** There is a frame V of \dot{E}

The diagonal maps from A to AIII

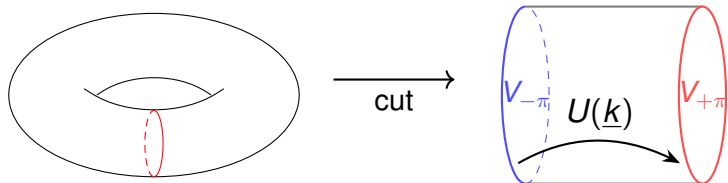
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1. **Cut:** View torus as cylinder with identified ends: $\mathbb{T}^d \cong \dot{\mathbb{T}}^d / \sim$. Let $E \rightarrow \mathbb{T}^d$ and $\dot{E} \rightarrow \dot{\mathbb{T}}^d$ the bundles before and after cutting.
2. **Global frame:** There is a frame V of \dot{E} (by: no weak index; but not extending to a frame of E , as a rule).

The diagonal maps from A to All

Need map $E \mapsto U$



1. **Cut:** View torus as cylinder with identified ends: $\mathbb{T}^d \cong \dot{\mathbb{T}}^d / \sim$. Let $E \rightarrow \mathbb{T}^d$ and $\dot{E} \rightarrow \dot{\mathbb{T}}^d$ the bundles before and after cutting.
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3. **Clutching:** $k = (\underline{k}, k_d) \in \mathbb{T}^{d-1} \times [-\pi, \pi]$. The restriction of V at $k_d = +\pi$ differs from $k_d = -\pi$ by a unitary $U(\underline{k})$

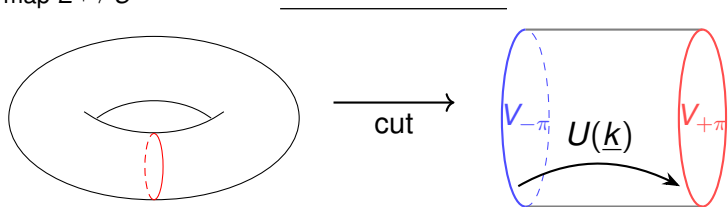
$$V(\underline{k}, +\pi) = V(\underline{k}, -\pi)U(\underline{k})$$

The transition map is

$$U : \mathbb{T}^{d-1} \rightarrow U(N), \quad \underline{k} \mapsto U(\underline{k}).$$

The diagonal maps from A to $A \cup \text{III}$

Need map $E \mapsto U$



1. **Cut:** View torus as cylinder with identified ends: $\mathbb{T}^d \cong \dot{\mathbb{T}}^d / \sim$. Let $E \rightarrow \mathbb{T}^d$ and $\dot{E} \rightarrow \dot{\mathbb{T}}^d$ the bundles before and after cutting.
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The transition map is

$$U : \mathbb{T}^{d-1} \rightarrow U(N), \quad \underline{k} \mapsto U(\underline{k}).$$

4. This is the sought unitary U in $E \mapsto U$

Complex classes: From chiral to non-chiral classes

Symmetry		d							
CAZ Class	Π	0	1	2	3	4	5	6	7
A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
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- Need map

$$U \mapsto (P, 1)$$

Complex classes: From chiral to non-chiral classes

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A	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	

- ▶ Need map

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- ▶ Relax to $U \in GL(N)$ and to P a non-orthogonal projection.

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- ▶ Need map

$$U \mapsto (P, 1)$$

- ▶ Relax to $U \in GL(N)$ and to P a non-orthogonal projection.
- ▶ In $d = 1$: Replace $k \in S^1$ by $z = e^{ik}$
- ▶ In $d > 1$: Do the same for k_d in $k = (\underline{k}, k_d) \in \mathbb{T}^d = \mathbb{T}^{d-1} \times S^1$

The diagonal maps from AI to A

Step 1. From $d = 1$ to $d = 0$: Given an **affine linear** map $U : S^1 \rightarrow GL(N)$, $z \mapsto U(z)$, define a projection P by the integral

$$P := \frac{1}{2\pi i} \oint_{S^1} U(z)^{-1} \partial_z U(z) dz .$$

The diagonal maps from Alt to A

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$$P := \frac{1}{2\pi i} \oint_{S^1} U(z)^{-1} \partial_z U(z) dz.$$

- ▶ By Cauchy's integral formula: $P^2 = P$. Well-known for $U(z) = z - H$, $\partial_z U(z) = 1$ (Riesz projector)

The diagonal maps from ALL to A

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$$P := \frac{1}{2\pi i} \oint_{S^1} U(z)^{-1} \partial_z U(z) dz.$$

- ▶ By Cauchy's integral formula: $P^2 = P$. Well-known for $U(z) = z - H$, $\partial_z U(z) = 1$ (Riesz projector)
- ▶ Generalized to arbitrary d as $U(\underline{k}, z) \mapsto P(\underline{k})$, where $\underline{k} \in \mathbb{T}^{d-1}$ are bystanders.

The diagonal map from A^{III} to A (continued)

Step 2. Given a **polynomial** map $U : S^1 \rightarrow GL(N)$, with $U(z) = u_0 + u_1 z + \dots + u_n z^n$, ($u_i \in M_N(\mathbb{C})$), we define its *linearization* $L^{(n)}U$ by

$$L^{(n)}U := \begin{pmatrix} u_0 & u_1 & u_2 & \cdots & u_n \\ -z & \mathbb{1} & 0 & \cdots & 0 \\ 0 & -z & \mathbb{1} & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & -z & \mathbb{1} \end{pmatrix}.$$

The diagonal map from A_{III} to A (continued)

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Remark: $L^{(n)}U$ is homotopic to

$$\begin{pmatrix} U(z) & 0 & 0 & \cdots & 0 \\ 0 & \mathbb{1} & 0 & \cdots & 0 \\ 0 & 0 & \mathbb{1} & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ 0 & 0 & \cdots & 0 & \mathbb{1} \end{pmatrix} = U \oplus \mathbb{1}_n,$$

which is equal to U by stacking. Thus, we can use **step 1**.

The diagonal map from A_{III} to A (continued)

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Remark: $L^{(n)}U$ is homotopic to

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which is equal to U by stacking. Thus, we can use **step 1**.

Example: $U(z) = \mathbb{1}z^n$ maps to $P = \mathbb{1}_n \oplus 0_1 \sim \mathbb{1}_n$

The diagonal map from A_{III} to A (continued)

Step 3. Any continuous map $U : \mathbb{T}^d \rightarrow GL(N)$ is approximated by a Laurent polynomial:

$$U(\underline{k}, z) \approx \hat{U}(\underline{k}, z)z^{-n},$$

where \hat{U} is a polynomial in z and $z^{-n} = (z^n)^{-1}$

The diagonal map from $AIII$ to A (continued)

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Thus

- ▶ $\hat{U} \mapsto P$ by Steps 1, 2.
- ▶ $z^n \mapsto \mathbb{1}_n$ by the Example
- ▶ So $\hat{U}(\cdot, z)z^{-n} \mapsto (P(\cdot), \mathbb{1}_n)$

That defines the map $U \mapsto (P, 1)$, as required.

The diagonal map from $AIII$ to A (continued)

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- ▶ So $\hat{U}(\cdot, z)z^{-n} \mapsto (P(\cdot), \mathbb{1}_n)$

That defines the map $U \mapsto (P, 1)$, as required.

It also completes the discussion of complex classes.

Real classes: From chiral to non-chiral classes

4 pairs (chiral \rightarrow non-chiral), grouped into 2 cases

Real classes: From chiral to non-chiral classes

- First case: BDI \rightarrow AI and CII \rightarrow AII

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

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CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

Classes AI and AII have lone symmetry Θ , ($\sigma_{\Theta} = \pm 1$)

Real classes: From chiral to non-chiral classes

- First case: $BDI \rightarrow AI$ and $CII \rightarrow \underline{AII}$, while $d \rightarrow d - 1$

Real classes: From chiral to non-chiral classes

- First case: $BDI \rightarrow AI$ and $CII \rightarrow \underline{AII}$, while $d \rightarrow d - 1$

Normal forms for Θ

$$\Theta = \begin{cases} K, (\sigma_{\Theta} = +1) \\ \varepsilon K, (\sigma_{\Theta} = -1) \end{cases}, \quad \varepsilon = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad K = \text{complex conjugation}$$

Real classes: From chiral to non-chiral classes

- First case: BDI \rightarrow AI and CII \rightarrow All, while $d \rightarrow d - 1$

Normal forms for Θ

$$\Theta = \begin{cases} K, (\sigma_{\Theta} = +1) \\ \varepsilon K, (\sigma_{\Theta} = -1) \end{cases}, \quad \varepsilon = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad K = \text{complex conjugation}$$

yield

BDI	$U(k) = \overline{U(-k)}$
CII	$U(k)\varepsilon = \varepsilon\overline{U(-k)}$

AI	$P^{\pm}(k) = \overline{P^{\pm}(-k)}$
All	$P^{\pm}(k)\varepsilon = \varepsilon\overline{P^{\pm}(-k)}$

yet with $k \in \mathbb{T}^d$ (left), $k \in \mathbb{T}^{d-1}$ (right)

Real classes: From chiral to non-chiral classes

- First case: BDI \rightarrow AI and CII \rightarrow All, while $d \rightarrow d - 1$

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yield

BDI	$U(\underline{k}, z) = \overline{U(-\underline{k}, \bar{z})}$
CII	$U(\underline{k}, z)\varepsilon = \varepsilon \overline{U(-\underline{k}, \bar{z})}$

AI	$P^{\pm}(\underline{k}) = \overline{P^{\pm}(-\underline{k})}$
All	$P^{\pm}(\underline{k})\varepsilon = \varepsilon \overline{P^{\pm}(-\underline{k})}$

with $k = (\underline{k}, z)$

Real classes: From chiral to non-chiral classes

- First case: BDI \rightarrow AI and CII \rightarrow All, while $d \rightarrow d - 1$

Normal forms for Θ

$$\Theta = \begin{cases} K, (\sigma_{\Theta} = +1) \\ \varepsilon K, (\sigma_{\Theta} = -1) \end{cases}, \quad \varepsilon = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad K = \text{complex conjugation}$$

yield

BDI	$U(z) = \overline{U(-\bar{z})}$	AI	$P^{\pm} = \overline{P^{\pm}}$
CII	$U(z)\varepsilon = \varepsilon \overline{U(\bar{z})}$	All	$P^{\pm}\varepsilon = \varepsilon \overline{P^{\pm}}$

with \underline{k} absent in $d = 1$; bystander otherwise

Real classes: From chiral to non-chiral classes

- First case: $BDI \rightarrow AI$ and $CII \rightarrow All$, while $d \rightarrow d - 1$

Normal forms for Θ

$$\Theta = \begin{cases} K, (\sigma_{\Theta} = +1) \\ \varepsilon K, (\sigma_{\Theta} = -1) \end{cases}, \quad \varepsilon = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad K = \text{complex conjugation}$$

yield

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Properties passed down from U to $P = P^+$; (P^- is complementary).

Real classes: From chiral to non-chiral classes

- Second case: DIII \rightarrow D and CI \rightarrow C

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

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D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
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Classes D and C have lone symmetry Σ , ($\sigma_\Sigma = \pm 1$)

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Classes D and C have lone symmetry Σ , ($\sigma_\Sigma = \pm 1$)

Similar to first case; to be skipped.

Real classes: From non-chiral to chiral classes

- First case: AI \rightarrow CI and AII \rightarrow DIII.

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D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
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 - ▶ any vector bundle $E \rightarrow \mathbb{T}^d$ and its cut $\dot{E} \rightarrow \dot{\mathbb{T}}^d$ placed at $k_d = \pm\pi$
 - ▶ frames V on \dot{E} and their transition maps U

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- ▶ But now frames V (unitaries) need to be **adapted** to symmetry AI [or AII] (compatibility $k \leftrightarrow -k$)
- ▶ As a result, transition map U has symmetry CI [or $DIII$]

An aside: On adding a symmetry requirement

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Consider **classes** before adding a **symmetry**. What happens to them after adding it?

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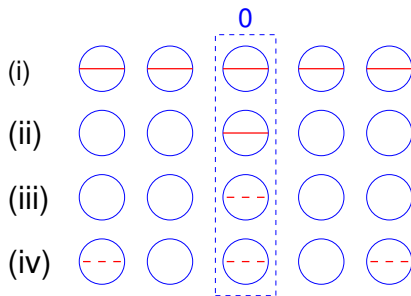
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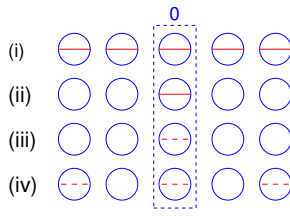
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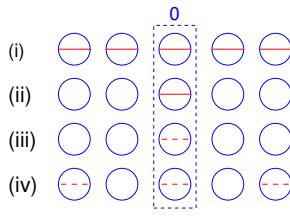
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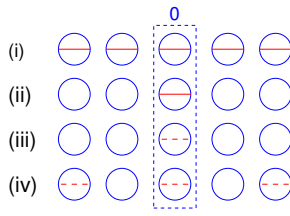


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- ▶ Let $X_0 \subset X$ be the symmetry classes **before** and **after** adding the **symmetry** requirement.

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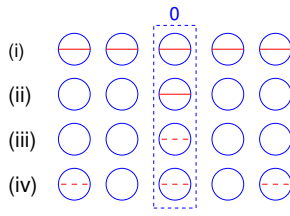


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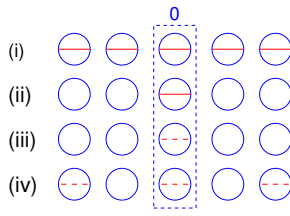


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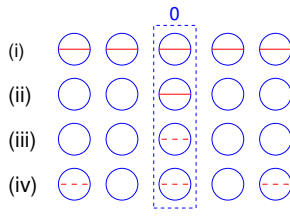


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- ▶ Application to $X = \text{BDI}$, CII and $X_0 = \text{AIII}$

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 - ▶ (c) ι is a non-trivial non-injection, cf. (iv)
- ▶ Application to $X = \text{BDI}$, CII and $X_0 = \text{AIII}$: case (c) does not occur.

Real classes: From non-chiral to chiral classes

- Second case: $D \rightarrow BDI$ and $C \rightarrow CII$.

CAZ Class	Symmetry			d							
	Θ	Σ	Π	0	1	2	3	4	5	6	7
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

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DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
AII	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
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C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
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Classes D and C have lone symmetry Σ , ($\sigma_\Sigma = \pm 1$).

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For a given pair of rows, a **same** construction applies to all d , up to two **exceptions**
($D \rightarrow BDI$, $C \rightarrow CII$)

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C	0	-1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
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Real classes: From non-chiral to chiral classes

For a given pair of rows, a **same** construction applies to all d , up to two **exceptions** ($D \rightarrow \text{BDI}$, $C \rightarrow \text{CII}$)

- We'll just pursue $D \rightarrow \text{BDI}$, while $d \rightarrow d - 1$

CAZ Class	Θ	Σ	Π	0	1	2	3	4	5	6	7
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
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 - ▶ (a): $\iota = 0$
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- ▶ There are frames V (unitaries) on \dot{E} adapted to symmetry D (compatibility $k \leftrightarrow -k$). They satisfy the BDI symmetry ($\overline{V(k)} = V(-k)$).

Real classes: From non-chiral to chiral classes

CAZ Class	Θ	Σ	Π	0	1	2	3	4	5	6	7
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0

- ▶ Cases for maps $\iota: \text{BDI} \rightarrow \text{AIII}$ upon foregoing the symmetry
 - ▶ (a): $\iota = 0$
 - ▶ (b): ι is a non-trivial injection
- ▶ There are frames V (unitaries) on \dot{E} adapted to symmetry D (compatibility $k \leftrightarrow -k$). They satisfy the BDI symmetry ($\overline{V(k)} = V(-k)$).
- ▶ Expect map $E \mapsto U$ to finish the job. But ...

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Concluding lemma

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D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0

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- ▶ If case (a) occurs in $d - 1$, then in dimension d the frame V extends to $E: V|_{k_d=\pi} = V|_{k_d=-\pi}$ (i.e. trivial transition $U = 1$);

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BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0

- ▶ Cases for maps ι : BDI \rightarrow AIII upon foregoing the symmetry
 - ▶ (a): $\iota = 0$
 - ▶ (b): ι is a non-trivial injection
- ▶ There are frames V (unitaries) on \dot{E} adapted to symmetry \underline{D} (compatibility $k \leftrightarrow -k$). They satisfy the BDI symmetry ($\overline{V(k)} = V(-k)$).
- ▶ Expect map $E \mapsto U$ to finish the job. But ...

Concluding lemma

- ▶ If case (a) occurs in $d - 1$, then in dimension d the frame V extends to E : $V|_{k_d=\pi} = V|_{k_d=-\pi}$ (i.e. trivial transition $U = 1$); retain BDI index of restrictions $V|_{k_d=\pi}$ (better: difference to the trivial index of $V|_{k_d=0}$).

Real classes: From non-chiral to chiral classes

CAZ Class	Θ	Σ	Π	0	1	2	3	4	5	6	7
All	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0

- ▶ Cases for maps ι : BDI \rightarrow All upon foregoing the symmetry
 - ▶ (a): $\iota = 0$
 - ▶ (b): ι is a non-trivial injection
- ▶ There are frames V (unitaries) on \dot{E} adapted to symmetry \underline{D} (compatibility $k \leftrightarrow -k$). They satisfy the BDI symmetry ($\overline{V(k)} = V(-k)$).
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- ▶ If case (a) occurs in $d - 1$, then in dimension d the frame V extends to E : $V|_{k_d=\pi} = V|_{k_d=-\pi}$ (i.e. trivial transition $U = 1$); retain BDI index of restrictions $V|_{k_d=\pi}$ (better: difference to the trivial index of $V|_{k_d=0}$).
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Real classes: From non-chiral to chiral classes

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Alll	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0

- ▶ Cases for maps ι : BDI \rightarrow Alll upon foregoing the symmetry
 - ▶ (a): $\iota = 0$
 - ▶ (b): ι is a non-trivial injection
- ▶ There are frames V (unitaries) on \dot{E} adapted to symmetry \underline{D} (compatibility $k \leftrightarrow -k$). They satisfy the BDI symmetry ($\overline{V(k)} = V(-k)$).
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Concluding lemma

- ▶ If case (a) occurs in $d - 1$, then in dimension d the frame V extends to E : $V|_{k_d=\pi} = V|_{k_d=-\pi}$ (i.e. trivial transition $U = 1$); retain BDI index of restrictions $V|_{k_d=\pi}$ (better: difference to the trivial index of $V|_{k_d=0}$).
- ▶ If case (b) occurs in $d - 1$, then in dimension d do (essentially): Take the index g from clutching in Alll, retain $\iota^{-1}(g)$ in BDI.

Summary

- ▶ A derivation of the Kitaev table has been given.
- ▶ It proceeds by diagonal maps
- ▶ They are of different kinds, the main difference being whether they map (a) non-chiral to chiral classes, or (b) viceversa.
- ▶ Essentially, $k = (\underline{k}, k_d) \in \mathbb{T}^d$ and
 - ▶ (a) $U = U(\underline{k})$ is the transition matrix of a bundle $E = (E_k)$
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Thank you all for your attention!