

# What is an anomaly?

Dan Freed

University of Texas at Austin

February 7, 2023

# Steinberger, Adler, Bell-Jackiw

PHYSICAL REVIEW

VOLUME 76, NUMBER 8

OCTOBER 15, 1949

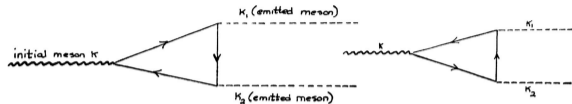
## On the Use of Subtraction Fields and the Lifetimes of Some Types of Meson Decay

J. STEINBERGER\*

*The Institute for Advanced Study, Princeton, New Jersey*

(Received June 13, 1949)

The method of subtraction fields in current meson perturbation theory is described, and it is shown that it leads to finite results in all processes. The method is, however, not without ambiguities, and these are stated. It is then applied to the following problems in meson decay: Decay of a neutral meson into two and



PHYSICAL REVIEW

VOLUME 177, NUMBER 5

25 JANUARY 1969

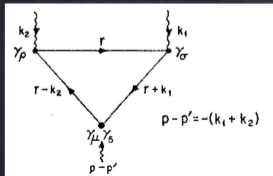
## Axial-Vector Vertex in Spinor Electrodynamics

STEPHEN L. ADLER

*Institute for Advanced Study, Princeton, New Jersey 08540*

(Received 24 September 1968)

Working within the framework of perturbation theory, we show that the axial-vector vertex in spinor electrodynamics has anomalous properties which disagree with those found by the formal manipulation of field equations. Specifically, because of the presence of closed-loop "triangle diagrams," the divergence of axial-vector current is not the usual expression calculated from the field equations, and the axial-vector current does not satisfy the usual Ward identity. One consequence is that, even after the external-line



## A PCAC PUZZLE : $\pi^0 \rightarrow \gamma\gamma$ IN THE $\sigma$ MODEL

J.S. Bell  
CERN - Geneva

and

Roman Jackiw<sup>+</sup>  
CERN - Geneva

and

Jefferson Laboratory of Physics  
Harvard University, Cambridge, Mass.



# Anomalies and the Atiyah-Singer index theorem



Nuclear Physics B

Volume 127, Issue 3, 12 September 1977, Pages 493-508



## Axial anomaly and Atiyah-Singer theorem

N.K. Nielsen, Bert Schroer

PHYSICAL REVIEW D VOLUME 21, NUMBER 10 15 MAY 1980

### Path integral for gauge theories with fermions

Kazuo Fujikawa

Institute for Nuclear Study, University of Tokyo, Tanashi, Tokyo 188, Japan  
(Received 28 January 1980)

The Atiyah-Singer index theorems indicate that a naive unitary transformation of basis vectors for fermions interacting with gauge fields is not allowed in general. On the basis of this observation, it was previously shown that the path-integral measure of a gauge-invariant fermion theory is transformed nontrivially under the chiral transformation, and this leads to a simple derivation of "anomalous" chiral Ward-Takahashi identities. We here clarify some of the technical aspects associated with the discussion. It is shown that the Jacobian factor in the path-integral measure, which corresponds to the Adler-Bell-Jackiw anomaly, is independent of any smooth regularization procedure of large eigenvalues of  $\not{D}$  in Euclidean theory; this property holds in any even-dimensional space-time and also for the gravitational anomaly. The

Proc. Natl. Acad. Sci. USA  
Vol. 81, pp. 2597-2600, April 1984  
Mathematics

## Dirac operators coupled to vector potentials

(elliptic operators/index theory/characteristic classes/anomalies/gauge fields)

M. F. ATIYAH<sup>†</sup> AND I. M. SINGER<sup>‡</sup>

<sup>†</sup>Mathematical Institute, University of Oxford, Oxford, England; and <sup>‡</sup>Department of Mathematics, University of California, Berkeley, CA 94720

Contributed by I. M. Singer, January 6, 1984

**THEOREM 4.** A gauge covariant  $\mathcal{I}_r(A)$  smooth in  $A$  exists if and only if the determinant line bundle of  $\text{Ind } \not{D}$  is trivial—i.e.,  $d_2 = 0$  in  $H^2(\mathcal{A}/\mathcal{G}, \mathbb{Z})$  or  $t_1 = 0$  in  $H^1(\mathcal{G}, \mathbb{Z})$ .

The characteristic forms  $d_{2j}eH^{2j}(\mathcal{A}/\mathcal{G}, \mathbb{Z})$  are obstructions to the existence of a covariant propagator for  $\not{D}_{\mathcal{A}/\mathcal{G}}$ . We ask the question: Do the higher obstructions have physical significance?

Nuclear Physics B234 (1983) 269-330  
© North-Holland Publishing Company

### GRAVITATIONAL ANOMALIES

Luis ALVAREZ-GAUMÉ<sup>1</sup>

Lyman Laboratory of Physics, Harvard University, Cambridge, MA 02138, USA

Edward WITTEN<sup>2</sup>

Joseph Henry Laboratories, Princeton University, Princeton, NJ 08544, USA

Received 7 October 1983

It is shown that in certain parity-violating theories in  $4k+2$  dimensions, general covariance is spoiled by anomalies at the one-loop level. This occurs when Weyl fermions of spin-1 or  $\frac{3}{2}$  or self-dual antisymmetric tensor fields are coupled to gravity. (For Dirac fermions there is no trouble.) The conditions for anomaly cancellation between fields of different spin is investigated. In six dimensions this occurs in certain theories with a fairly elaborate field content. In ten dimensions there is a unique theory with anomaly cancellation between fields of different spin. It is the chiral  $n=2$  supergravity theory, which is the low-energy limit of one of the superstring theories. Beyond ten dimensions there is no way to cancel anomalies between fields of different spin.

## Hamiltonian Interpretation of Anomalies

Philip Nelson<sup>1\*</sup> and Luis Alvarez-Gaumé<sup>2</sup>

<sup>1</sup> Institute for Theoretical Physics, University of California, Santa Barbara, CA 93106, USA

<sup>2</sup> Lyman Laboratory of Physics, Harvard University, Cambridge, MA 02138, USA

**Abstract.** A family of quantum systems parametrized by the points of a compact space can realize its classical symmetries via a new kind of nontrivial ray representation. We show that this phenomenon in fact occurs for the quantum mechanics of fermions in the presence of background gauge fields, and is responsible for both the nonabelian anomaly and Witten's SU(2) anomaly. This provides a hamiltonian interpretation of anomalies: in the affected theories Gauss' law cannot be implemented. The analysis clearly shows why there are no further obstructions corresponding to higher spheres in configuration space, in agreement with a recent result of Atiyah and Singer.

VOLUME 53, NUMBER 16

PHYSICAL REVIEW LETTERS

15 OCTOBER 1984

### Anomalies in Nonlinear Sigma Models

Gregory Moore and Philip Nelson

Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138  
(Received 15 June 1984)

Certain nonlinear sigma models with fermions suffer from an anomaly similar to the one in non-Abelian gauge theory. We exhibit this anomaly using both perturbative and global methods. The affected theories are ill defined and hence unsuitable for describing low-energy dynamics. They include certain supersymmetric models in four-space dimensions.

### ALGEBRAIC AND HAMILTONIAN METHODS IN THE THEORY OF NON-ABELIAN ANOMALIES

L. D. Faddeev and S. L. Shatashvili

The non-Abelian anomalies and the Wess-Zumino action are given a new interpretation in terms of infinitesimal and global cocycles of the representation of the gauge group acting on functionals of Yang-Mills fields. On the basis of this interpretation, two simple methods of nonperturbative calculation of the anomalies and the Wess-Zumino action are proposed.

Faddeev's anomaly in Gauss's law

Graeme Segal

#### 51. General remarks

Faddeev [3] has pointed out that when a gauge theory is quantized the gauge operators act with anomalous commutation relations - so called "Schwinger terms" - on the Hilbert space  $\mathfrak{H}$  of states. In mathematical language this means that the Lie algebra  $\mathcal{L}$  of the gauge group does not act on  $\mathfrak{H}$ , but an extension of  $\mathcal{L}$  by the vector space  $\mathfrak{G}$  of scalar-valued functions on the space of gauge fields does act. (Here  $\mathfrak{G}$  is regarded as an abelian Lie algebra.) The extension is described by a cocycle

$$c: \mathcal{L} \times \mathcal{L} \rightarrow \mathfrak{G}.$$

In this note I shall explain how the cocycle  $c$  arises from simple topological considerations of a general kind. I am very grateful

## Global Gravitational Anomalies

Edward Witten\*

Joseph Henry Laboratories, Princeton University, Princeton, NJ 08544, USA

**Abstract.** A general formula for global gauge and gravitational anomalies is derived. It is used to show that the anomaly free supergravity and superstring theories in ten dimensions are all free of global anomalies that might have ruined their consistency. However, it is shown that global anomalies lead to some restrictions on allowed compactifications of these theories. For example,

not obvious. Usually, the only simple way to study a diffeomorphism  $\pi$  is to investigate the associated manifold  $(M \times S^1)_\pi$  discussed in Sect. II. The simplest properties of  $(M \times S^1)_\pi$  are invariants of a manifold  $B$  which has it for boundary. The only evident connection between  $(M \times S^1)_\pi$  and  $B$  in which spinors play a role is the Atiyah-Patodi-Singer theorem concerning the  $\eta$ -invariant [29]. The  $\eta$  invariant can be defined as

$$\eta = \lim_{\varepsilon \rightarrow 0} \sum_{E_A \neq 0} (\text{sign } E_A) \exp -\varepsilon |E_A|, \quad (22)$$

where  $E_A$  are the eigenvalues of the Dirac operator on  $(M \times S^1)_\pi$ . The Atiyah-Patodi-Singer theorem asserts (for the spin 1/2 case) that

$$\frac{\eta}{2} = \text{index}_B(i\hat{D}) - \int_B \hat{A}(R), \quad (23)$$

## WORLD-SHEET CORRECTIONS VIA $D$ -INSTANTONS

Edward Witten

*School of Natural Sciences, Institute for Advanced Study  
Olden Lane, Princeton, NJ 08540, USA*

1.) Such a relation means that there is a three-manifold  $U \subset Y$  whose boundary is the union of the  $C_i$  (or more generally a three-manifold  $U$  with a map  $\phi: U \rightarrow Y$  such that the boundary of  $U$  is mapped diffeomorphically to the union of the  $C_i$ ). In this situation, we can give a relation, which depends only on the gauge-invariant  $H$ -field and not on the mysterious  $B$ -field, for the product  $\prod_{i=1}^s F(C_i)$ .

First of all, though the factors  $\exp\left(i \int_{C_i} B\right)$  are mysterious individually, for their product we can write an obvious classical formula that depends only on  $H$  and  $U$ :

$$\prod_{i=1}^s \exp\left(i \int_{C_i} B\right) = \exp\left(i \int_U H\right). \quad (2.25)$$

This expression depends on  $U$ , though this is not shown in the notation on the left hand side.

More subtle is the product of the Pfaffians. We recall that each fermion path integral  $\text{Pfaff}(\mathcal{D}_F(C_i))$  takes values in a complex line  $\mathcal{L}_{C_i}$ . However, according to a theorem of Dai and Freed [11], for every choice of a three-manifold  $U$  whose boundary is the union of the  $C_i$  (together with an extension of all of the bundles over  $U$ ), there is a canonical trivialization of the product  $\otimes_i \mathcal{L}_{C_i}$ . This trivialization is obtained by suitably interpreting the quantity  $\exp(i\pi\eta(U)/2)$ , where  $\eta(U)$  is an eta-invariant of a Dirac operator on  $U$  defined using global (Atiyah-Patodi-Singer) boundary conditions on the  $C_i$ . We write the trivialization

## Two myths

Just in case...

**Myth 1:** Anomalies are only caused by fermionic fields

**Myth 2:** Anomalies are only associated to symmetries

## Two myths

Just in case...

**Myth 1:** Anomalies are only caused by fermionic fields

**Mythbuster 1:** The flavor symmetry of QCD is anomalous—indeed, that anomaly involves fermions—but the anomaly persists in the effective theory of pions, which is a bosonic theory

**Myth 2:** Anomalies are only associated to symmetries

## Two myths

Just in case...

**Myth 1:** Anomalies are only caused by fermionic fields

**Mythbuster 1:** The flavor symmetry of QCD is anomalous—indeed, that anomaly involves fermions—but the anomaly persists in the effective theory of pions, which is a bosonic theory

**Myth 2:** Anomalies are only associated to symmetries

**Mythbuster 2:** The theory of a free spinor field has an anomaly

# Main thesis

QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

# Main thesis

QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

The anomaly of a quantum theory expresses its projectivity

# Main thesis

QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

The anomaly of a quantum theory expresses its projectivity

The anomaly is a feature, not a bug ('t Hooft)

# Main thesis

QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

The anomaly of a quantum theory expresses its projectivity

The anomaly is a feature, not a bug ('t Hooft)

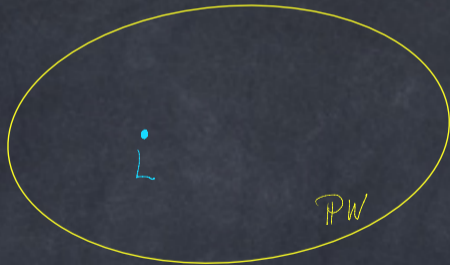
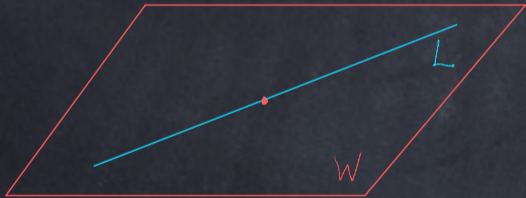
The anomaly is an obstruction only when quantizing

# Outline

- Projective spaces, linearization, and symmetry
- Quantum mechanics as a projective system
- Quantum field theory as a projective system
- Invertible field theories
- Anomalies as an obstruction to quantization
- Anomaly of a spinor field

# Projectivization of a linear space

$W$	(complex) vector space
$\mathbb{P}(W)$	projective space of lines $L \subset W$
$\text{End}(W)$	algebra of linear maps $T: W \longrightarrow W$



## Projectivization of a linear space

$W$	(complex) vector space
$\mathbb{P}(W)$	projective space of lines $L \subset W$
$\text{End}(W)$	algebra of linear maps $T: W \longrightarrow W$

If  $K$  is any line (1-dimensional vector space), then there are *canonical* isomorphisms

$$\begin{array}{ccc} \mathbb{P}(W) & \longrightarrow & \mathbb{P}(W \otimes K) \\ L & \longmapsto & L \otimes K \end{array} \qquad \begin{array}{ccc} \text{End}(W) & \longrightarrow & \text{End}(W \otimes K) \\ T & \longmapsto & T \otimes \text{id}_K \end{array}$$

## Projectivization of a linear space

$W$	(complex) vector space
$\mathbb{P}(W)$	projective space of lines $L \subset W$
$\text{End}(W)$	algebra of linear maps $T: W \longrightarrow W$

If  $K$  is any line (1-dimensional vector space), then there are *canonical* isomorphisms

$$\begin{array}{ccc} \mathbb{P}(W) & \longrightarrow & \mathbb{P}(W \otimes K) \\ L & \longmapsto & L \otimes K \end{array} \qquad \begin{array}{ccc} \text{End}(W) & \longrightarrow & \text{End}(W \otimes K) \\ T & \longmapsto & T \otimes \text{id}_K \end{array}$$

A linear symmetry of  $W$  induces a projective symmetry of  $\mathbb{P}(W)$

## Projectivization of a linear space

$W$	(complex) vector space
$\mathbb{P}(W)$	projective space of lines $L \subset W$
$\text{End}(W)$	algebra of linear maps $T: W \longrightarrow W$

If  $K$  is any line (1-dimensional vector space), then there are *canonical* isomorphisms

$$\begin{array}{ccc} \mathbb{P}(W) & \longrightarrow & \mathbb{P}(W \otimes K) \\ L & \longmapsto & L \otimes K \end{array} \qquad \begin{array}{ccc} \text{End}(W) & \longrightarrow & \text{End}(W \otimes K) \\ T & \longmapsto & T \otimes \text{id}_K \end{array}$$

A linear symmetry of  $W$  induces a projective symmetry of  $\mathbb{P}(W)$

A projective symmetry of  $\mathbb{P}(W)$  has a  $\mathbb{C}^\times$ -torsor of lifts to a linear symmetry of  $W$

# Projective symmetries

$$\mathbb{C}^\times \longrightarrow \mathrm{GL} \longrightarrow \mathrm{PGL}$$

Short exact sequence of Lie groups

# Projective symmetries

$$\mathbb{C}^\times \longrightarrow \mathrm{GL} \longrightarrow \mathrm{PGL}$$

$\uparrow$   
 $G$

Short exact sequence of Lie groups

Lie group  $G$  of projective symmetries

# Projective symmetries

$$\begin{array}{ccccc} \mathbb{C}^\times & \longrightarrow & \mathrm{GL} & \longrightarrow & \mathrm{PGL} \\ \parallel & & \uparrow \text{---} & & \uparrow \\ \mathbb{C}^\times & \longrightarrow & \tilde{G} & \longrightarrow & G \end{array}$$

Short exact sequence of Lie groups

Lie group  $G$  of projective symmetries

Pullback group extension; linear action of  $\tilde{G}$

# Projective symmetries

$$\begin{array}{ccccc} \mathbb{C}^\times & \longrightarrow & \mathrm{GL} & \longrightarrow & \mathrm{PGL} \\ \parallel & & \uparrow & \swarrow & \uparrow \\ \mathbb{C}^\times & \longrightarrow & \tilde{G} & \xrightarrow{\quad} & G \end{array}$$

Short exact sequence of Lie groups

Lie group  $G$  of projective symmetries

Pullback group extension; linear action of  $\tilde{G}$

Lift to linear symmetries  $\longleftrightarrow$  splitting of group extension

# Projective symmetries

$$\begin{array}{ccccccc} \mathbb{C}^\times & \longrightarrow & \mathrm{GL} & \longrightarrow & \mathrm{PGL} & \longrightarrow & B\mathbb{C}^\times \\ \parallel & & \uparrow \scriptstyle \text{dashed} & \nwarrow \text{green} & \uparrow & & \\ \mathbb{C}^\times & \longrightarrow & \tilde{G} & \xleftarrow{\text{green}} & G & \xrightarrow{\text{red}} & \end{array}$$

Short exact sequence of Lie groups

Lie group  $G$  of projective symmetries

Pullback group extension; linear action of  $\tilde{G}$

Lift to linear symmetries  $\longleftrightarrow$  splitting of group extension

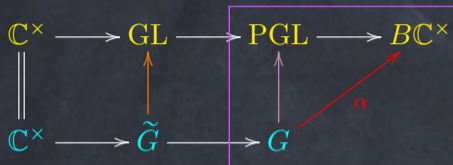
Obstruction to lifting

# Projective symmetries

$$\mathbb{C}^\times \longrightarrow \tilde{G} \longrightarrow G \xrightarrow[\alpha]{\quad} B\mathbb{C}^\times$$

$$G \xrightarrow{\quad} B\mathbb{C}^\times \quad \longleftrightarrow \quad \text{group extension}$$

# Projective symmetries



$G \longrightarrow B\mathbb{C}^\times \iff$  group extension

Projective action of  $G$  with projectivity  $\alpha \iff$  linear action of  $\tilde{G}$  s.t.  $\mathbb{C}^\times$  acts by scalar mult

# Projective symmetries

$$\begin{array}{ccccccc}
 \mathbb{C}^\times & \longrightarrow & \mathrm{GL} & \longrightarrow & \mathrm{PGL} & \longrightarrow & B\mathbb{C}^\times \\
 \parallel & & \uparrow & & \uparrow & & \\
 \mathbb{C}^\times & \longrightarrow & \tilde{G} & \longrightarrow & G & \xrightarrow{\alpha} & B\mathbb{C}^\times
 \end{array}$$

$G \longrightarrow B\mathbb{C}^\times \iff$  group extension

Projective action of  $G$  with projectivity  $\alpha \iff$  linear action of  $\tilde{G}$  s.t.  $\mathbb{C}^\times$  acts by scalar mult

In QM one has analogs of the projective action

In QFT one has analogs of the anomaly  $\alpha$  and the linear action

# Projective symmetries

$$\begin{array}{ccccccc}
 \mathbb{C}^\times & \longrightarrow & GL & \longrightarrow & PGL & \longrightarrow & B\mathbb{C}^\times \\
 \parallel & & \uparrow & \swarrow & \uparrow & & \\
 \mathbb{C}^\times & \longrightarrow & \tilde{G} & \longrightarrow & G & \xrightarrow{\alpha} & B\mathbb{C}^\times
 \end{array}$$

$G \longrightarrow B\mathbb{C}^\times \iff$  group extension

Projective action of  $G$  with projectivity  $\alpha \iff$  linear action of  $\tilde{G}$  s.t.  $\mathbb{C}^\times$  acts by scalar mult

In QM one has analogs of the projective action

In QFT one has analogs of the anomaly  $\alpha$  and the linear action

The analog of the splitting is a linearization or trivialization of the anomaly  $\alpha$

## Cohomological interpretation; splittings

$$G \xrightarrow{\alpha} B\mathbb{C}^\times$$

The **projectivity** has an equivalence class in  $H^2(G; \mathbb{C}^\times)$  for some cohomology theory

## Cohomological interpretation; splittings

$$\mathbb{C}^\times \longrightarrow \tilde{G} \longrightarrow G \xrightarrow[\alpha]{\quad} B\mathbb{C}^\times$$

The **projectivity** has an equivalence class in  $H^2(G; \mathbb{C}^\times)$  for some cohomology theory

The **extension** is a “cocycle” for this cohomology class

## Cohomological interpretation; splittings

A commutative diagram illustrating the relationship between various groups and spaces. At the top left is  $\mathbb{C}^\times$  in cyan. A white arrow points from  $\mathbb{C}^\times$  to  $\tilde{G}$  in cyan. A green arrow points from  $\tilde{G}$  to  $G$  in cyan. A white arrow points from  $G$  back to  $\tilde{G}$ . A red arrow points from  $G$  to  $B\mathbb{C}^\times$  in yellow, labeled with a red  $\alpha$  below it. A curved orange arrow points from  $G$  back to  $\mathbb{C}^\times$ .

The **projectivity** has an equivalence class in  $H^2(G; \mathbb{C}^\times)$  for some cohomology theory

The **extension** is a “cocycle” for this cohomology class

**Splittings** of the extension—**trivializations** of  $\alpha$ —form a torsor over **characters** of  $G$

## Cohomological interpretation; splittings

A commutative diagram illustrating the relationship between various groups and cohomology. It features four nodes:  $\mathbb{C}^\times$  (cyan),  $\tilde{G}$  (cyan),  $G$  (cyan), and  $B\mathbb{C}^\times$  (yellow). The nodes are arranged in a diamond shape. The edges are as follows: a horizontal arrow from  $\mathbb{C}^\times$  to  $\tilde{G}$ ; a horizontal arrow from  $\tilde{G}$  to  $G$ ; a horizontal arrow from  $G$  back to  $\tilde{G}$  (colored green); a curved arrow from  $G$  back to  $\mathbb{C}^\times$  (colored orange); and a diagonal arrow from  $G$  to  $B\mathbb{C}^\times$  (colored red) labeled with the Greek letter  $\alpha$  below it.

The **projectivity** has an equivalence class in  $H^2(G; \mathbb{C}^\times)$  for some cohomology theory

The **extension** is a “cocycle” for this cohomology class

**Splittings** of the extension—**trivializations** of  $\alpha$ —form a torsor over **characters** of  $G$

**Characters**—*invertible* linear representations—are elements of  $H^1(G; \mathbb{C}^\times)$

## Cohomological interpretation; splittings

A commutative diagram illustrating the relationship between various mathematical objects. At the top left is  $\mathbb{C}^\times$  in cyan. A white arrow points from  $\mathbb{C}^\times$  to  $\tilde{G}$  in cyan. A green arrow points from  $\tilde{G}$  to  $G$  in cyan. A white arrow points from  $G$  back to  $\tilde{G}$ . A red arrow points from  $G$  to  $B\mathbb{C}^\times$  in yellow, labeled with a red  $\alpha$ . A curved orange arrow points from  $G$  back to  $\mathbb{C}^\times$ .

The **projectivity** has an equivalence class in  $H^2(G; \mathbb{C}^\times)$  for some cohomology theory

The **extension** is a “cocycle” for this cohomology class

**Splittings** of the extension—**trivializations** of  $\alpha$ —form a torsor over **characters** of  $G$

**Characters**—*invertible* linear representations—are elements of  $H^1(G; \mathbb{C}^\times)$

Summary: **Projectivity** is a “suspended” *invertible* linear representation

# What is a projective space?

Goal: Define a projective space  $\mathbb{P}$  without committing to a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}(W)$

# What is a projective space?

Goal: Define a projective space  $\mathbb{P}$  without committing to a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}(W)$

Geometric structure à la Klein-Cartan specified by a model geometry  $H \hookrightarrow X$

# What is a projective space?

**Goal:** Define a projective space  $\mathbb{P}$  without committing to a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}(W)$

Geometric structure à la **Klein-Cartan** specified by a model geometry  $H \curvearrowright X$

An instance of that geometry is associated to a right  $H$ -torsor  $T$  by mixing:  $X_T := T \times_H X$

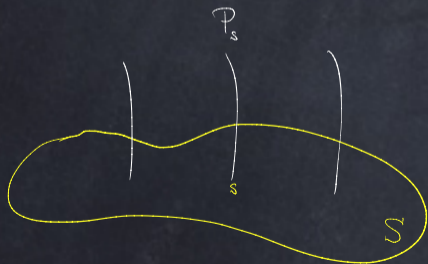
# What is a projective space?

**Goal:** Define a projective space  $\mathbb{P}$  without committing to a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}(W)$

Geometric structure à la **Klein-Cartan** specified by a model geometry  $H \subset X$

An instance of that geometry is associated to a right  $H$ -torsor  $T$  by mixing:  $X_T := T \times_H X$

Parametrized family: principal  $H$ -bundle  $P \longrightarrow S$     symmetry: a groupoid/stack  $S = *//G$



# What is a projective space?

**Goal:** Define a projective space  $\mathbb{P}$  without committing to a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}(W)$

Geometric structure à la **Klein-Cartan** specified by a model geometry  $H \curvearrowright X$

An instance of that geometry is associated to a right  $H$ -torsor  $T$  by mixing:  $X_T := T \times_H X$

Parametrized family: principal  $H$ -bundle  $P \longrightarrow S$     symmetry: a groupoid/stack  $S = *//G$

Model geometries for complex projective space:

- $\mathrm{PGL}_{n+1}\mathbb{C} \curvearrowright \mathbb{CP}^n$  (complex manifold)
- $\mathrm{PU}_{n+1} \curvearrowright \mathbb{CP}^n$  (**Kähler** manifold)
- $\widehat{\mathrm{PGL}}_{n+1}\mathbb{C} \curvearrowright \mathbb{CP}^n$  (+ antiholomorphic)
- $\mathrm{PQ}_{n+1} \curvearrowright \mathbb{CP}^n$  (+ antiunitary)
- (= **Fubini-Study** isoms)

# What is a projective space?

**Goal:** Define a projective space  $\mathbb{P}$  without committing to a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}(W)$

Geometric structure à la **Klein-Cartan** specified by a model geometry  $H \curvearrowright X$

An instance of that geometry is associated to a right  $H$ -torsor  $T$  by mixing:  $X_T := T \times_H X$

Parametrized family: principal  $H$ -bundle  $P \longrightarrow S$     symmetry: a groupoid/stack  $S = *//G$

Model geometries for complex projective space:

$\mathrm{PGL}_{n+1}\mathbb{C}$	$\curvearrowright$	$\mathbb{CP}^n$	(complex manifold)
$\mathrm{PU}_{n+1}$	$\curvearrowright$	$\mathbb{CP}^n$	( <b>Kähler</b> manifold)
$\widehat{\mathrm{PGL}}_{n+1}\mathbb{C}$	$\curvearrowright$	$\mathbb{CP}^n$	(+ antiholomorphic)
$\mathrm{PQ}_{n+1}$	$\curvearrowright$	$\mathbb{CP}^n$	(+ antiunitary)
			(= <b>Fubini-Study</b> isoms)

There are infinite dimensional analogs

# Outline

- Projective spaces, linearization, and symmetry
- Quantum mechanics as a projective system
- Quantum field theory as a projective system
- Invertible field theories
- Anomalies as an obstruction to quantization
- Anomaly of a spinor field

# Quantum mechanics as a linear system

$$\underline{\dim \mathcal{H} = 2}$$

$\mathcal{H}$

complex separable Hilbert space

$\mathbb{P}\mathcal{H}$

space of pure states

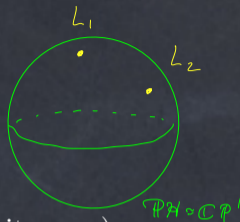
$H \in \text{End}(\mathcal{H})$

Hamiltonian

$p: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow [0, 1]$

transition probability function ( $\psi_i \in L_i$  unit norm)

$L_0, L_1 \longmapsto |\langle \psi_0, \psi_1 \rangle|^2$



# Quantum mechanics as a linear system

$\mathcal{H}$  complex separable Hilbert space

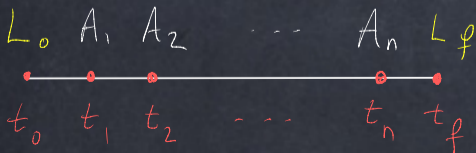
$\mathbb{P}\mathcal{H}$  space of pure states

$H \in \text{End}(\mathcal{H})$  Hamiltonian

$p: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow [0, 1]$   
 $L_0, L_1 \longmapsto |\langle \psi_0, \psi_1 \rangle|^2$  transition probability function ( $\psi_i \in L_i$  unit norm)

Probability:  $p(L_f, e^{-i(t_f-t_n)H/\hbar} A_n \dots e^{-i(t_2-t_1)H/\hbar} A_1 e^{-i(t_1-t_0)H/\hbar} L_0) \in [0, 1]$

$t_0 < t_1 < \dots < t_n < t_f$  real numbers,  $A_1, \dots, A_n \in \text{End } \mathcal{H}$ ,  $L_0, L_f \in \mathbb{P}\mathcal{H}$



# Quantum mechanics as a linear system

$\mathcal{H}$  complex separable Hilbert space

$\mathbb{P}\mathcal{H}$  space of pure states

$H \in \text{End}(\mathcal{H})$  Hamiltonian

$p: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow [0, 1]$   
 $L_0, L_1 \longmapsto |\langle \psi_0, \psi_1 \rangle|^2$  transition probability function ( $\psi_i \in L_i$  unit norm)

Probability:  $p(L_f, e^{-i(t_f-t_n)H/\hbar} A_n \dots e^{-i(t_2-t_1)H/\hbar} A_1 e^{-i(t_1-t_0)H/\hbar} L_0) \in [0, 1]$

$t_0 < t_1 < \dots < t_n < t_f$  real numbers,  $A_1, \dots, A_n \in \text{End } \mathcal{H}$ ,  $L_0, L_f \in \mathbb{P}\mathcal{H}$

Amplitude:  $\langle \psi_f, e^{-i(t_f-t_n)H/\hbar} A_n \dots e^{-i(t_2-t_1)H/\hbar} A_1 e^{-i(t_1-t_0)H/\hbar} \psi_0 \rangle_{\mathcal{H}} \in \mathbb{C}$  if we choose vectors  $\psi_0 \in L_0, \psi_f \in L_f$ ; as a function of  $L_0, L_f$  the amplitude lies in the hermitian line  $(L_0 \otimes \overline{L_f})^*$ ; the probability is the norm square:  $|\text{Amplitude}|^2 = \text{Probability}$

# Quantum mechanics as a projective system

We only need a projective space, not a linear space:

$\mathbb{P}$

projective space

$\mathcal{A}_{\mathbb{P}}$

complex algebra

$H \in \text{End}(\mathcal{A}_{\mathbb{P}})$

Hamiltonian

$p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$

for any linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$

$\sigma_0, \sigma_1 \longmapsto |\langle \psi_0, \psi_1 \rangle|_{\mathcal{H}}^2$



# Quantum mechanics as a projective system

We only need a projective space, not a linear space:

$\mathbb{P}$  projective space

$\mathcal{A}_{\mathbb{P}}$  complex algebra

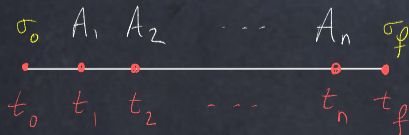
$H \in \text{End}(\mathcal{A}_{\mathbb{P}})$  Hamiltonian

$$p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$$

for any linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$

$$\sigma_0, \sigma_1 \longmapsto |\langle \psi_0, \psi_1 \rangle|_{\mathcal{H}}^2$$

Probability:  $p(\sigma_f, e^{-i(t_f-t_n)H/\hbar} A_n \dots e^{-i(t_2-t_1)H/\hbar} A_1 e^{-i(t_1-t_0)H/\hbar} \sigma_0) \in [0, 1]$



# Quantum mechanics as a projective system

We only need a projective space, not a linear space:

$\mathbb{P}$  projective space

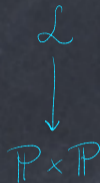
$\mathcal{A}_{\mathbb{P}}$  complex algebra

$H \in \text{End}(\mathcal{A}_{\mathbb{P}})$  Hamiltonian

$p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$

$\sigma_0, \sigma_1 \longmapsto |\langle \psi_0, \psi_1 \rangle|_{\mathcal{H}}^2$

for any linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$



Probability:  $p(\sigma_f, e^{-i(t_f-t_n)H/\hbar} A_n \dots e^{-i(t_2-t_1)H/\hbar} A_1 e^{-i(t_1-t_0)H/\hbar} \sigma_0) \in [0, 1]$

Amplitude:  $\langle -, e^{-i(t_f-t_n)H/\hbar} A_n \dots e^{-i(t_2-t_1)H/\hbar} A_1 e^{-i(t_1-t_0)H/\hbar} - \rangle \in \mathcal{L}_{\sigma_0, \sigma_f}$

# The symmetry/structure group of quantum mechanics

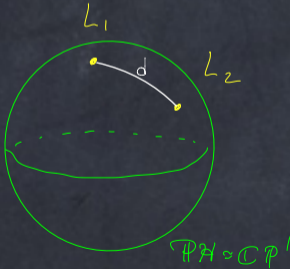
$\mathbb{P}$

projective space

$$p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$$

transition probability function

Fix a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$ ; then the group  $\text{Aut}(\mathbb{P}, p)$  of maps  $\mathbb{P} \longrightarrow \mathbb{P}$  preserving  $p$  is the isometry group of the Fubini-Study metric  $d: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow \mathbb{R}^{\geq 0}$   $\cos(d) = 2p - 1$



# The symmetry/structure group of quantum mechanics

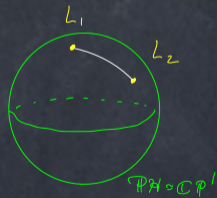
$\mathbb{P}$  projective space  
 $p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$  transition probability function

Fix a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$ ; then the group  $\text{Aut}(\mathbb{P}, p)$  of maps  $\mathbb{P} \longrightarrow \mathbb{P}$  preserving  $p$  is the isometry group of the Fubini-Study metric  $d: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow \mathbb{R}^{\geq 0}$   $\cos(d) = 2p - 1$

**Example:**  $\dim \mathcal{H} = 2$ ,  $\mathbb{P} = \mathbb{CP}^1 \approx S^2$  (round metric),  $\text{Aut}(\mathbb{P}, p) = \text{O}_3$

$$\mathbb{T} \longrightarrow \text{U}_2 \longrightarrow \text{SO}_3$$

$$\mathbb{T} \longrightarrow \text{Q}_2 \longrightarrow \text{O}_3 = \text{PQ}_2$$



# The symmetry/structure group of quantum mechanics

$\mathbb{P}$  projective space  
 $p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$  transition probability function

Fix a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$ ; then the group  $\text{Aut}(\mathbb{P}, p)$  of maps  $\mathbb{P} \longrightarrow \mathbb{P}$  preserving  $p$  is the isometry group of the Fubini-Study metric  $d: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow \mathbb{R}^{\geq 0}$   $\cos(d) = 2p - 1$

**Example:**  $\dim \mathcal{H} = 2$ ,  $\mathbb{P} = \mathbb{CP}^1 \approx S^2$  (round metric),  $\text{Aut}(\mathbb{P}, p) = \text{O}_3$

$$\mathbb{T} \longrightarrow \text{U}_2 \longrightarrow \text{SO}_3$$

$$\mathbb{T} \longrightarrow \text{Q}_2 \longrightarrow \text{O}_3 = \text{PQ}_2$$

**Theorem (von Neumann-Wigner):** The group  $\text{PQ}$  of projective QM symmetries fits into a group extension  $\mathbb{T} \longrightarrow \text{Q} \longrightarrow \text{PQ}$ , where  $\text{Q}$  = group of unitaries and antiunitaries

# The symmetry/structure group of quantum mechanics

$\mathbb{P}$  projective space

$p: \mathbb{P} \times \mathbb{P} \longrightarrow [0, 1]$  transition probability function

Fix a linearization  $\mathbb{P} \xrightarrow{\cong} \mathbb{P}\mathcal{H}$ ; then the group  $\text{Aut}(\mathbb{P}, p)$  of maps  $\mathbb{P} \longrightarrow \mathbb{P}$  preserving  $p$  is the isometry group of the Fubini-Study metric  $d: \mathbb{P}\mathcal{H} \times \mathbb{P}\mathcal{H} \longrightarrow \mathbb{R}^{\geq 0}$   $\cos(d) = 2p - 1$

**Example:**  $\dim \mathcal{H} = 2$ ,  $\mathbb{P} = \mathbb{CP}^1 \approx S^2$  (round metric),  $\text{Aut}(\mathbb{P}, p) = \text{O}_3$

$$\mathbb{T} \longrightarrow \text{U}_2 \longrightarrow \text{SO}_3$$

$$\mathbb{T} \longrightarrow \text{Q}_2 \longrightarrow \text{O}_3 = \text{PQ}_2$$

**Theorem (von Neumann-Wigner):** The group  $\text{PQ}$  of projective QM symmetries fits into a group extension  $\mathbb{T} \longrightarrow \text{Q} \longrightarrow \text{PQ}$ , where  $\text{Q}$  = group of unitaries and antiunitaries

Therefore,  $\text{PQ}_n \hookrightarrow \mathbb{CP}^n$  or  $\text{PQ}_\infty \hookrightarrow \mathbb{CP}^\infty$  is the model geometry for QM

## Linearization and anomalies

$$\mathbb{T} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{P}\mathbb{Q} \xrightarrow{\alpha} \widetilde{B\mathbb{T}}$$

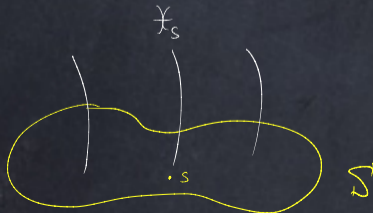
The extension of QM symmetry groups is classified by a twisted cocycle  $\alpha$

# Linearization and anomalies

$$\mathbb{T} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{PQ} \xrightarrow{\alpha} \widetilde{B\mathbb{T}}$$

The extension of QM symmetry groups is classified by a twisted cocycle  $\alpha$

A family  $\mathcal{X} \longrightarrow S$  of QM systems over  $S$  is specified by a principal  $\mathbb{PQ}$ -bundle  $P \longrightarrow S$



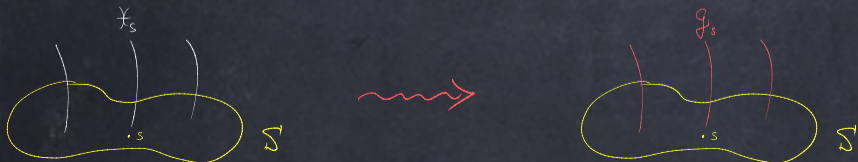
# Linearization and anomalies

$$\mathbb{T} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{P}\mathbb{Q} \xrightarrow{\alpha} \widetilde{B\mathbb{T}}$$

The extension of QM symmetry groups is classified by a twisted cocycle  $\alpha$

A family  $\mathcal{X} \longrightarrow S$  of QM systems over  $S$  is specified by a principal  $\mathbb{P}\mathbb{Q}$ -bundle  $P \longrightarrow S$

Associated “twisted gerbe” over  $S$  is the *anomaly*—obstruction to a **linearization**—which is a lift to a principal  $\mathbb{Q}$ -bundle over  $S$ . Isomorphism class of **projectivity** lies in “ $H^2(S; \widetilde{\mathbb{T}})$ ”



# Linearization and anomalies

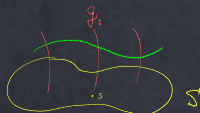
$$\mathbb{T} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{P}\mathbb{Q} \xrightarrow{\alpha} \widetilde{B\mathbb{T}}$$

The extension of QM symmetry groups is classified by a twisted cocycle  $\alpha$

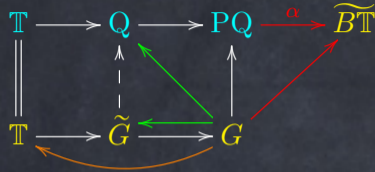
A family  $\mathcal{X} \longrightarrow S$  of QM systems over  $S$  is specified by a principal  $\mathbb{P}\mathbb{Q}$ -bundle  $P \longrightarrow S$

Associated “twisted gerbe” over  $S$  is the *anomaly*—obstruction to a **linearization**—which is a lift to a principal  $\mathbb{Q}$ -bundle over  $S$ . Isomorphism class of **projectivity** lies in “ $H^2(S; \widetilde{\mathbb{T}})$ ”

**Linearizations**, if they exist, are a “categorical torsor” (gerbe) over principal  $\widetilde{\mathbb{T}}$ -bundles



# Linearization and anomalies



The extension of QM symmetry groups is classified by a twisted cocycle  $\alpha$

A family  $\mathcal{X} \longrightarrow S$  of QM systems over  $S$  is specified by a principal PQ-bundle  $P \longrightarrow S$

Associated “twisted gerbe” over  $S$  is the *anomaly*—obstruction to a **linearization**—which is a lift to a principal Q-bundle over  $S$ . Isomorphism class of **projectivity** lies in “ $H^2(S; \tilde{\mathbb{T}})$ ”

**Linearizations**, if they exist, are a “categorical torsor” (gerbe) over principal  $\tilde{\mathbb{T}}$ -bundles

For  $S = *//G$  (single QM system with  $G$ -symmetry), reduce to group extension discussion

# Outline

- Projective spaces, linearization, and symmetry
- Quantum mechanics as a projective system
- Quantum field theory as a projective system
- Invertible field theories
- Anomalies as an obstruction to quantization
- Anomaly of a spinor field

## Wick-rotated QFT as a linear representation

Graeme Segal (mid 1980's): Wick-rotated QFT is a representation of a bordism category

## Wick-rotated QFT as a linear representation

Graeme Segal (mid 1980's): Wick-rotated QFT is a representation of a bordism category

There are two “discrete parameters” that specify the species of bordism category:  $n, \mathcal{F}$

## Wick-rotated QFT as a linear representation

Graeme Segal (mid 1980's): Wick-rotated QFT is a representation of a bordism category

There are two “discrete parameters” that specify the species of bordism category:  $n, \mathcal{F}$

$n$  is the dimension of “spacetime”

# Wick-rotated QFT as a linear representation

**Graeme Segal** (mid 1980's): Wick-rotated QFT is a representation of a bordism category

There are two “discrete parameters” that specify the species of bordism category:  $n, \mathcal{F}$

$n$  is the dimension of “spacetime”

$\mathbf{Man}_n$  category of smooth  $n$ -manifolds and local diffeomorphisms

$\mathbf{sSet}$  category of simplicial sets

**Definition:** A *Wick-rotated field* is a sheaf

$$\mathcal{F}: \mathbf{Man}_n^{\mathrm{op}} \longrightarrow \mathbf{sSet}$$

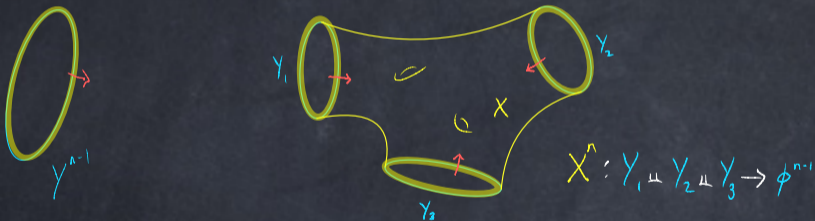
**Examples:** Riemannian metrics,  $G$ -connections,  $\mathbb{R}$ -valued functions,  $M$ -valued functions, orientations, spin structures, gerbes, ...

$\mathcal{F}$  can be a *collection* of fields;  $\mathcal{F}(M)$  is the simplicial set of fields on an  $n$ -manifold  $M$

**Axiom System:**  $\text{Bord}_n(\mathcal{F})$  bordism category

$n$  dimension of spacetime

$\mathcal{F}$  background fields (orientation, Riemannian metric, ...)



**Axiom System:**  $\mathbf{Bord}_n(\mathcal{F})$     bordism category

$n$     dimension of spacetime

$\mathcal{F}$     background fields (orientation, Riemannian metric, ...)

$\mathbf{Vect}$     linear category of topological vector spaces and linear maps

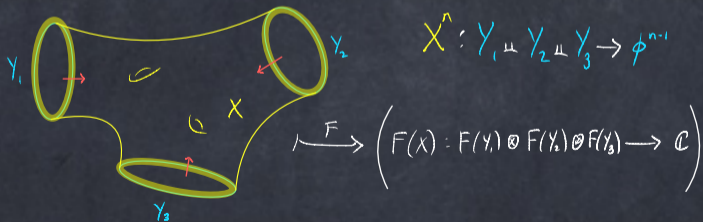
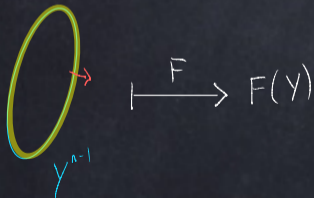
**Axiom System:**  $\text{Bord}_n(\mathcal{F})$  bordism category

$n$  dimension of spacetime

$\mathcal{F}$  background fields (orientation, Riemannian metric, ...)

$\text{Vect}$  linear category of topological vector spaces and linear maps

$F: \text{Bord}_n(\mathcal{F}) \longrightarrow \text{Vect}$  linear representation of bordism category



**Axiom System:**  $\mathbf{Bord}_n(\mathcal{F})$    bordism category

$n$    dimension of spacetime

$\mathcal{F}$    background fields (orientation, Riemannian metric, ...)

$\mathbf{Vect}$    linear category of topological vector spaces and linear maps

$F: \mathbf{Bord}_n(\mathcal{F}) \longrightarrow \mathbf{Vect}$    linear representation of bordism category

Fully local for *topological* theories; full locality in principle for general theories

**Axiom System:**  $\mathbf{Bord}_n(\mathcal{F})$    bordism category

$n$    dimension of spacetime

$\mathcal{F}$    background fields (orientation, Riemannian metric, ...)

$\mathbf{Vect}$    linear category of topological vector spaces and linear maps

$F: \mathbf{Bord}_n(\mathcal{F}) \longrightarrow \mathbf{Vect}$    linear representation of bordism category

Fully local for *topological* theories; full locality in principle for general theories

Unitarity is encoded via an additional reflection positivity structure

**Axiom System:**  $\mathbf{Bord}_n(\mathcal{F})$  bordism category

$n$  dimension of spacetime

$\mathcal{F}$  background fields (orientation, Riemannian metric, ...)

$\mathbf{Vect}$  linear category of topological vector spaces and linear maps

$F: \mathbf{Bord}_n(\mathcal{F}) \longrightarrow \mathbf{Vect}$  linear representation of bordism category

Fully local for *topological* theories; full locality in principle for general theories

Unitarity is encoded via an additional reflection positivity structure

**Kontsevich-Segal:** recent paper with these axioms for *nontopological* theories  
geometric form of Wick rotation via admissible complex metrics  
theorem constructing theory on globally hyperbolic Lorentz manifolds

## Wick-rotated QFT as a projective representation; the anomaly

Proj	category of “(holomorphic) projective spaces and holomorphic maps”
Vect	category of topological vector spaces and linear maps
Line	category of complex lines and invertible linear maps

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps

$$\text{Line} \longrightarrow \text{Vect} \longrightarrow \text{Proj}$$

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps

$$\text{Line} \longrightarrow \text{Vect} \longrightarrow \text{Proj} \longrightarrow \Sigma(\text{Line})$$

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps

$$\begin{array}{ccccccc} \text{Line} & \longrightarrow & \text{Vect} & \longrightarrow & \text{Proj} & \xrightarrow{\text{red}} & \Sigma(\text{Line}) \\ & & & & \uparrow \overline{F} & & \\ & & & & \text{Bord}_n(\mathcal{F}) & & \end{array}$$

Projective theory  $\overline{F}$

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps

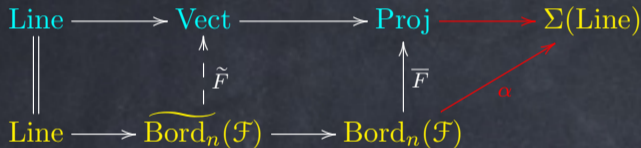
$$\begin{array}{ccccccc} \text{Line} & \longrightarrow & \text{Vect} & \longrightarrow & \text{Proj} & \longrightarrow & \Sigma(\text{Line}) \\ & & & & \uparrow \overline{F} & \nearrow \alpha & \\ & & & & \text{Bord}_n(\mathcal{F}) & & \end{array}$$

Projective theory  $\overline{F}$

Its **anomaly** = projectivity  $\alpha$

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps

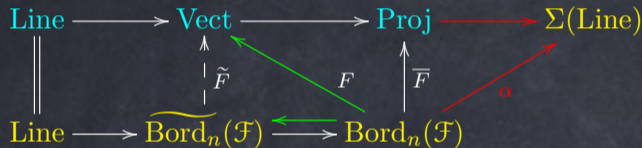


Projective theory  $\overline{F}$

Its **anomaly** = projectivity  $\alpha$  and resulting **extension** of the bordism category

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps



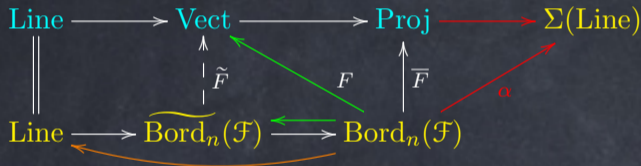
Projective theory  $\overline{F}$

Its **anomaly** = projectivity  $\alpha$  and resulting **extension** of the bordism category

**Trivialization** of  $\alpha$  = linearization of  $\overline{F}$  to  $F$

# Wick-rotated QFT as a projective representation; the anomaly

- Proj** category of (holomorphic) projective spaces and holomorphic maps
- Vect** category of topological vector spaces and linear maps
- Line** category of complex lines and invertible linear maps



Projective theory  $\overline{F}$

Its **anomaly** = **projectivity**  $\alpha$  and resulting **extension** of the bordism category

**Trivialization** of  $\alpha$  = **linearization** of  $\overline{F}$  to  $F$

**Ratio** of **trivializations**: an invertible  $n$ -dimensional theory

## Segal: 1980s paper on 2d conformal field theory

$$\begin{array}{ccccc}
 \text{Line} & \longrightarrow & \text{Vect} & \longrightarrow & \text{Proj} \\
 \parallel & & \uparrow \tilde{F} & & \uparrow \overline{F} \\
 \text{Line} & \longrightarrow & \widetilde{\text{Bord}}_n(\mathcal{F}) & \longrightarrow & \text{Bord}_n(\mathcal{F})
 \end{array}$$

For any modular functor  $E$  we have a map  $E(X) \otimes E(Y) \rightarrow E(X \circ Y)$  when  $X$  and  $Y$  are composable morphisms in  $\mathcal{C}$  with their boundaries compatibly labelled. So  $E$  defines an extension  $\mathcal{C}^E$  of the category  $\mathcal{C}$ . An object of  $\mathcal{C}^E$  is a collection of circles each with a label from  $\Phi$ , and a morphism is a pair  $(X, \epsilon)$ , where  $X$  is an morphism in  $\mathcal{C}$  and  $\epsilon \in E(X)$ .

Definition (5.2). A weakly conformal field theory is a representation of  $\mathcal{C}^E$  for some modular functor  $E$ , satisfying conditions as in (4.4).

# Anomaly as an invertible field theory

$\Sigma(\text{Line})$

$\Sigma(\text{Line})$  is a groupoid of gerbes, a categorification of  $\text{Line}$

# Anomaly as an invertible field theory

$$\text{Bord}_n(\mathcal{F}) \xrightarrow{\alpha} \Sigma(\text{Line})$$

$\Sigma(\text{Line})$  is a groupoid of gerbes, a categorification of  $\text{Line}$

The **anomaly theory**  $\alpha$  is a *once-categorified*  $n$ -dimensional invertible field theory

# Anomaly as an invertible field theory

$$\begin{array}{ccccccc}
 \text{Line} & \longrightarrow & \text{Vect} & \longrightarrow & \text{Proj} & \longrightarrow & \Sigma(\text{Line}) \\
 \parallel & & \uparrow \tilde{F} & & \uparrow \overline{F} & & \nearrow \alpha \\
 \text{Line} & \longrightarrow & \widetilde{\text{Bord}_n(\mathcal{F})} & \longrightarrow & \text{Bord}_n(\mathcal{F}) & & 
 \end{array}$$

$\Sigma(\text{Line})$  is a groupoid of gerbes, a categorification of  $\text{Line}$

The **anomaly theory**  $\alpha$  is a *once-categorified*  $n$ -dimensional invertible field theory

An  $n$ -dimensional theory  $\overline{F}$  relative to  $\alpha$  assigns  $\overline{F}(X^n): \mathbb{C} \longrightarrow \alpha(X^n)$  for  $X^n$  closed

(Note: *Relative* field theories are called *twisted* theories by **Stolz-Teichner**)

# Anomaly as an invertible field theory

$$\begin{array}{ccccccc}
 \text{Line} & \longrightarrow & \text{Vect} & \longrightarrow & \text{Proj} & \longrightarrow & \Sigma(\text{Line}) \\
 \parallel & & \uparrow \tilde{F} & & \uparrow \overline{F} & & \nearrow \alpha \\
 \text{Line} & \longrightarrow & \widetilde{\text{Bord}_n(\mathcal{F})} & \longrightarrow & \text{Bord}_n(\mathcal{F}) & & 
 \end{array}$$

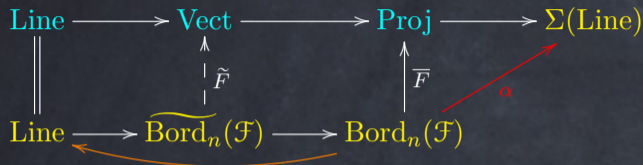
$\Sigma(\text{Line})$  is a groupoid of gerbes, a categorification of  $\text{Line}$

The **anomaly theory**  $\alpha$  is a *once-categorified*  $n$ -dimensional invertible field theory

An  $n$ -dimensional theory  $\overline{F}$  relative to  $\alpha$  assigns  $\overline{F}(X^n): \mathbb{C} \longrightarrow \alpha(X^n)$  for  $X^n$  closed

To  $Y^{n-1}$  closed,  $\overline{F}$  assigns a projective space with projectivity  $\alpha(Y^{n-1})$

# Anomaly as an invertible field theory



$\Sigma(\text{Line})$  is a groupoid of gerbes, a categorification of  $\text{Line}$

The **anomaly theory**  $\alpha$  is a *once-categorified*  $n$ -dimensional invertible field theory

An  $n$ -dimensional theory  $\overline{F}$  relative to  $\alpha$  assigns  $\overline{F}(X^n): \mathbb{C} \longrightarrow \alpha(X^n)$  for  $X^n$  closed

To  $Y^{n-1}$  closed,  $\overline{F}$  assigns a projective space with projectivity  $\alpha(Y^{n-1})$

**Ratios** of trivializations of  $\alpha$ : a standard type of  $n$ -dimensional invertible theory

Extension of anomaly theory; relative theory  $\longrightarrow$  boundary theory

$$\begin{array}{ccc}
 & & \Sigma(\text{Line}) \\
 & \nearrow \alpha & \uparrow \tilde{\alpha} \\
 \text{Bord}_n(\mathcal{F}) & \hookrightarrow & \text{Bord}_{n+1}(\tilde{\mathcal{F}})
 \end{array}$$

In many cases the once-categorified  $n$ -dimensional anomaly theory  $\alpha$  has an extension to an  $(n + 1)$ -dimensional theory  $\tilde{\alpha}$

# Extension of anomaly theory; relative theory $\longrightarrow$ boundary theory

$$\begin{array}{ccc} & & \Sigma(\text{Line}) \\ & \nearrow \alpha & \uparrow \tilde{\alpha} \\ \text{Bord}_n(\mathcal{F}) & \hookrightarrow & \text{Bord}_{n+1}(\tilde{\mathcal{F}}) \end{array}$$

In many cases the once-categorified  $n$ -dimensional anomaly theory  $\alpha$  has an extension to an  $(n + 1)$ -dimensional theory  $\tilde{\alpha}$

In that case a theory *relative* to  $\alpha$  is promoted to a *boundary* theory for  $\tilde{\alpha}$



## Extension of anomaly theory; relative theory $\longrightarrow$ boundary theory

$$\begin{array}{ccc} & & \Sigma(\text{Line}) \\ & \nearrow \alpha & \uparrow \tilde{\alpha} \\ \text{Bord}_n(\mathcal{F}) & \hookrightarrow & \text{Bord}_{n+1}(\tilde{\mathcal{F}}) \end{array}$$

In many cases the once-categorified  $n$ -dimensional anomaly theory  $\alpha$  has an extension to an  $(n + 1)$ -dimensional theory  $\tilde{\alpha}$

In that case a theory *relative* to  $\alpha$  is promoted to a *boundary* theory for  $\tilde{\alpha}$

The extended anomaly theory  $\tilde{\alpha}$  assigns a nonzero number to a closed  $(n + 1)$ -manifold which, though not part of an  $n$ -dimensional anomalous theory, is a useful quantity

## Extension of anomaly theory; relative theory $\longrightarrow$ boundary theory

$$\begin{array}{ccc} & & \Sigma(\text{Line}) \\ & \nearrow \alpha & \uparrow \tilde{\alpha} \\ \text{Bord}_n(\mathcal{F}) & \hookrightarrow & \text{Bord}_{n+1}(\tilde{\mathcal{F}}) \end{array}$$

In many cases the once-categorified  $n$ -dimensional anomaly theory  $\alpha$  has an extension to an  $(n + 1)$ -dimensional theory  $\tilde{\alpha}$

In that case a theory *relative* to  $\alpha$  is promoted to a *boundary* theory for  $\tilde{\alpha}$

The extended anomaly theory  $\tilde{\alpha}$  assigns a nonzero number to a closed  $(n + 1)$ -manifold which, though not part of an  $n$ -dimensional anomalous theory, is a useful quantity

Anomaly theories  $\alpha, \tilde{\alpha}$  are not in general topological; if so, topological tools are available

# Outline

- Projective spaces, linearization, and symmetry
- Quantum mechanics as a projective system
- Quantum field theory as a projective system
- Invertible field theories
- Anomalies as an obstruction to quantization
- Anomaly of a spinor field

## Preliminary: differential cohomology

$h^\bullet$  cohomology theory (on CW complexes)

$\check{h}^\bullet \longrightarrow h^\bullet$  differential refinement (on smooth manifolds)

## Preliminary: differential cohomology

$h^\bullet$  cohomology theory (on CW complexes)

$\widetilde{h}^\bullet \longrightarrow h^\bullet$  differential refinement (on smooth manifolds)

$$\begin{array}{ccc} \widetilde{H\mathbb{Z}}^1(M) & \longrightarrow & H\mathbb{Z}^1(M) = H^1(M; \mathbb{Z}) \\ \parallel & & \parallel \\ \{\phi: M \longrightarrow \mathbb{R}/\mathbb{Z}\} & & \{\phi: M \longrightarrow \mathbb{R}/\mathbb{Z}\} / \text{homotopy} \end{array}$$

## Preliminary: differential cohomology

$h^\bullet$

cohomology theory (on CW complexes)

$\widetilde{h}^\bullet \longrightarrow h^\bullet$

differential refinement (on smooth manifolds)

$$\begin{array}{ccc} \widetilde{H\mathbb{Z}}^1(M) & \longrightarrow & H\mathbb{Z}^1(M) = H^1(M; \mathbb{Z}) \\ \parallel & & \parallel \\ \{\phi: M \longrightarrow \mathbb{R}/\mathbb{Z}\} & & \{\phi: M \longrightarrow \mathbb{R}/\mathbb{Z}\} / \text{homotopy} \end{array}$$

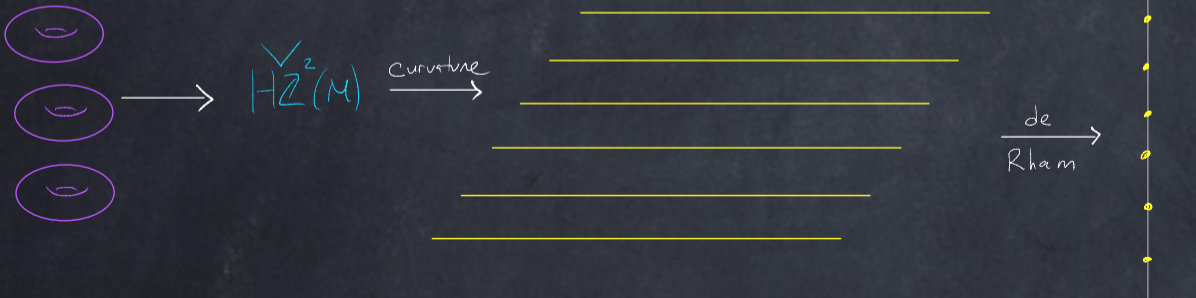
$$\begin{array}{ccc} \widetilde{H\mathbb{Z}}^2(M) & \longrightarrow & H\mathbb{Z}^2(M) = H^2(M; \mathbb{Z}) \\ \parallel & & \parallel \\ \{\mathbb{R}/\mathbb{Z}\text{-connections on } M\} / \cong & & \{\text{principal } \mathbb{R}/\mathbb{Z}\text{-bundles on } M\} / \cong \end{array}$$



$$\begin{array}{ccc}
 \widetilde{H}\mathbb{Z}^2(M) & \xrightarrow{\text{curvature}} & \Omega_{\text{closed}}^2(M) \\
 \downarrow \text{Chern class} & & \downarrow \text{de Rham} \\
 H\mathbb{Z}^2(M) & \longrightarrow & H_{\text{dR}}^2(M) \cong H\mathbb{R}^2(M)
 \end{array}$$

$M = S^1 :$

$$\begin{array}{ccc}
 \mathbb{R}/\mathbb{Z} & \longrightarrow & 0 \\
 \downarrow & & \downarrow \\
 0 & \longrightarrow & 0
 \end{array}$$



# Invertible field theories

Introduced by F-Moore, homotopical approach developed by F-Hopkins-Teleman

# Invertible field theories

Introduced by **F-Moore**, homotopical approach developed by **F-Hopkins-Teleman**

*Generalized* differential cocycles on bordism, values in Anderson dual  $I\mathbb{Z}$  to sphere; based on ideas of **Hopkins-Singer**

# Invertible field theories

Introduced by **F-Moore**, homotopical approach developed by **F-Hopkins-Teleman**

*Generalized* differential cocycles on bordism, values in Anderson dual  $I\mathbb{Z}$  to sphere; based on ideas of **Hopkins-Singer**

Here is the diagram for an extended anomaly theory ( $\mathcal{B}$  is a differential bordism spectrum)

$$\begin{array}{ccc} \widetilde{I\mathbb{Z}}^{n+2}(\mathcal{B}) & \xrightarrow{\text{curvature}} & \Omega_{\text{closed}}^{n+2}(\mathcal{B}) \\ \text{deformation class} \downarrow & & \downarrow \text{"de Rham"} \\ I\mathbb{Z}^{n+2}(\mathcal{B}) & \longrightarrow & I\mathbb{R}^{n+2}(\mathcal{B}) \end{array}$$

# Invertible field theories

Introduced by **F-Moore**, homotopical approach developed by **F-Hopkins-Teleman**

*Generalized* differential cocycles on bordism, values in Anderson dual  $I\mathbb{Z}$  to sphere; based on ideas of **Hopkins-Singer**

Here is the diagram for an extended anomaly theory ( $\mathcal{B}$  is a differential bordism spectrum)

$$\begin{array}{ccc} \widetilde{I\mathbb{Z}}^{n+2}(\mathcal{B}) & \xrightarrow{\text{curvature}} & \Omega_{\text{closed}}^{n+2}(\mathcal{B}) \\ \text{deformation class} \downarrow & & \downarrow \text{"de Rham"} \\ I\mathbb{Z}^{n+2}(\mathcal{B}) & \longrightarrow & I\mathbb{R}^{n+2}(\mathcal{B}) \end{array}$$

The curvature, or “anomaly polynomial”, encodes the *local anomaly*

# Invertible field theories

Introduced by **F-Moore**, homotopical approach developed by **F-Hopkins-Teleman**

*Generalized* differential cocycles on bordism, values in Anderson dual  $I\mathbb{Z}$  to sphere; based on ideas of **Hopkins-Singer**

Here is the diagram for an extended anomaly theory ( $\mathcal{B}$  is a differential bordism spectrum)

$$\begin{array}{ccc} \widetilde{I\mathbb{Z}}^{n+2}(\mathcal{B}) & \xrightarrow{\text{curvature}} & \Omega_{\text{closed}}^{n+2}(\mathcal{B}) \\ \text{deformation class} \downarrow & & \downarrow \text{"de Rham"} \\ I\mathbb{Z}^{n+2}(\mathcal{B}) & \longrightarrow & I\mathbb{R}^{n+2}(\mathcal{B}) \end{array}$$

The curvature, or “anomaly polynomial”, encodes the *local anomaly*

The deformation class is accessible via homotopical methods

# Outline

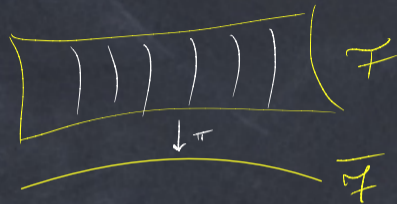
- Projective spaces, linearization, and symmetry
- Quantum mechanics as a projective system
- Quantum field theory as a projective system
- Invertible field theories
- Anomalies as an obstruction to quantization
- Anomaly of a spinor field

# QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

$\pi: \mathcal{F} \longrightarrow \overline{\mathcal{F}}$  fiber bundle of collection of fields

fibers of  $\pi$  fluctuating fields

$\overline{\mathcal{F}}$  background fields



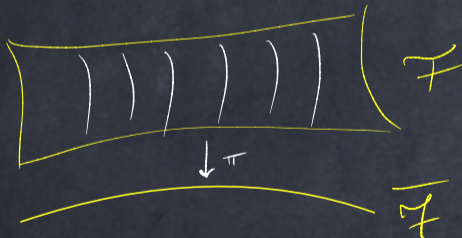
# QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

$\pi: \mathcal{F} \longrightarrow \overline{\mathcal{F}}$  fiber bundle of collection of fields

fibers of  $\pi$  fluctuating fields

$\overline{\mathcal{F}}$  background fields

Quantization: passage from a theory  $F$  on  $\mathcal{F}$  to a theory  $\overline{F}$  on  $\overline{\mathcal{F}}$  via integration over  $\pi$



# QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

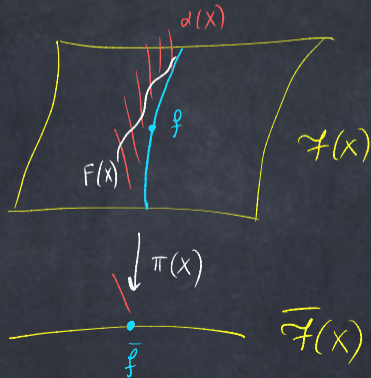
$\pi: \mathcal{F} \longrightarrow \overline{\mathcal{F}}$  fiber bundle of collection of fields

fibers of  $\pi$  fluctuating fields

$\overline{\mathcal{F}}$  background fields

Quantization: passage from a theory  $F$  on  $\mathcal{F}$  to a theory  $\overline{F}$  on  $\overline{\mathcal{F}}$  via integration over  $\pi$

Closed  $n$ -manifold  $X$ : Feynman path integral



# QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

$\pi: \mathcal{F} \longrightarrow \overline{\mathcal{F}}$  fiber bundle of collection of fields

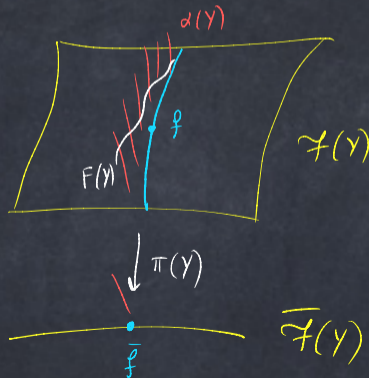
fibers of  $\pi$  fluctuating fields

$\overline{\mathcal{F}}$  background fields

Quantization: passage from a theory  $F$  on  $\mathcal{F}$  to a theory  $\overline{F}$  on  $\overline{\mathcal{F}}$  via integration over  $\pi$

Closed  $n$ -manifold  $X$ : Feynman path integral

Closed  $(n-1)$ -manifold  $Y$ : canonical quantization



# QUANTUM THEORY IS PROJECTIVE. QUANTIZATION IS LINEAR.

$\pi: \mathcal{F} \longrightarrow \overline{\mathcal{F}}$  fiber bundle of collection of fields

fibers of  $\pi$  fluctuating fields

$\overline{\mathcal{F}}$  background fields

Quantization: passage from a theory  $F$  on  $\mathcal{F}$  to a theory  $\overline{F}$  on  $\overline{\mathcal{F}}$  via integration over  $\pi$

Closed  $n$ -manifold  $X$ : Feynman path integral

Closed  $(n-1)$ -manifold  $Y$ : canonical quantization

To carry out quantization we must *descend* the projectivity/anomaly  $\alpha$ :

A commutative diagram illustrating the relationship between bordism groups and the anomaly. It consists of three nodes:  $\text{Bord}_n(\mathcal{F})$  at the top left,  $\text{Bord}_n(\overline{\mathcal{F}})$  at the bottom left, and  $\Sigma^{n+1} \mathbb{C}^\times$  at the middle right. A solid black arrow points vertically from  $\text{Bord}_n(\mathcal{F})$  to  $\text{Bord}_n(\overline{\mathcal{F}})$ . A solid red arrow points from  $\text{Bord}_n(\mathcal{F})$  to  $\Sigma^{n+1} \mathbb{C}^\times$ , labeled with  $\alpha$  above it. A dashed red arrow points from  $\text{Bord}_n(\overline{\mathcal{F}})$  to  $\Sigma^{n+1} \mathbb{C}^\times$ , labeled with  $\bar{\alpha}$  above it.

$$\begin{array}{ccc} \text{Bord}_n(\mathcal{F}) & \xrightarrow{\alpha} & \Sigma^{n+1} \mathbb{C}^\times \\ \downarrow & \nearrow \bar{\alpha} & \\ \text{Bord}_n(\overline{\mathcal{F}}) & & \end{array}$$

*anomaly* is obstruction to existence

descents form a torsor over  $n$ -dimensional theories

## Anomalies: summary

- Quantum theory is projective—the *'t Hooft anomaly* is the projectivity

## Anomalies: summary

- Quantum theory is projective—the *'t Hooft anomaly* is the projectivity
- Quantization is linear—the *anomaly* obstructs quantization

## Anomalies: summary

- Quantum theory is projective—the *'t Hooft anomaly* is the projectivity
- Quantization is linear—the *anomaly* obstructs quantization
- If the obstruction vanishes, one must specify descent data, which is a torsor over an abelian group of invertible field theories

## Anomalies: summary

- Quantum theory is projective—the *'t Hooft anomaly* is the projectivity
- Quantization is linear—the *anomaly* obstructs quantization
- If the obstruction vanishes, one must specify descent data, which is a torsor over an abelian group of invertible field theories
- There is a well-developed theory of invertible field theories, so the projectivity of quantum field theory is accessible using geometric and topological tools

## Anomalies: summary

- Quantum theory is projective—the *'t Hooft anomaly* is the projectivity
- Quantization is linear—the *anomaly* obstructs quantization
- If the obstruction vanishes, one must specify descent data, which is a torsor over an abelian group of invertible field theories
- There is a well-developed theory of invertible field theories, so the projectivity of quantum field theory is accessible using geometric and topological tools
- The anomaly of a QFT is itself a field theory, so obeys locality and, typically, unitarity

# Outline

- Projective spaces, linearization, and symmetry
- Quantum mechanics as a projective system
- Quantum field theory as a projective system
- Invertible field theories
- Anomalies as an obstruction to quantization
- Anomaly of a spinor field

## Free spinor field data on $\mathbb{M}^n$

$\mathbb{M}^n$

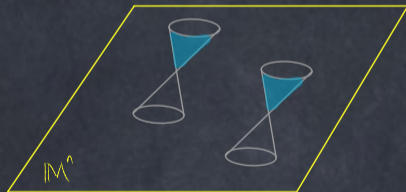
Minkowski spacetime (affine space, Lorentz metric)

$C \subset \mathbb{R}^{1,n-1}$

component of timelike vectors (time-orientation)

$\text{Spin}_{1,n-1} \subset \text{Cliff}_{n-1,1}^0$

Lorentz group



## Free spinor field data on $\mathbb{M}^n$

$\mathbb{M}^n$

Minkowski spacetime (affine space, Lorentz metric)

$C \subset \mathbb{R}^{1,n-1}$

component of timelike vectors (time-orientation)

$\text{Spin}_{1,n-1} \subset \text{Cliff}_{n-1,1}^0$

Lorentz group

$\mathbb{S}$

real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module

$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$

symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

$m: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}$

skew-symmetric  $\text{Spin}_{1,n-1}$ -invariant (*mass*) form

## Free spinor field data on $\mathbb{M}^n$

$\mathbb{M}^n$

Minkowski spacetime (affine space, Lorentz metric)

$C \subset \mathbb{R}^{1,n-1}$

component of timelike vectors (time-orientation)

$\text{Spin}_{1,n-1} \subset \text{Cliff}_{n-1,1}^0$

Lorentz group

$\mathbb{S}$

real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module

$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$

symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

$m: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}$

skew-symmetric  $\text{Spin}_{1,n-1}$ -invariant (*mass*) form

- If  $\mathbb{S}$  is irreducible,  $\Gamma$  exists and is unique up to scale

## Free spinor field data on $\mathbb{M}^n$

$\mathbb{M}^n$

Minkowski spacetime (affine space, Lorentz metric)

$C \subset \mathbb{R}^{1,n-1}$

component of timelike vectors (time-orientation)

$\text{Spin}_{1,n-1} \subset \text{Cliff}_{n-1,1}^0$

Lorentz group

$\mathbb{S}$

real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module

$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$

symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

$m: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}$

skew-symmetric  $\text{Spin}_{1,n-1}$ -invariant (*mass*) form

- If  $\mathbb{S}$  is irreducible,  $\Gamma$  exists and is unique up to scale
- Given a pairing  $\Gamma$  there is a unique compatible  $\text{Cliff}_{n-1,1}$ -module structure on  $\mathbb{S} \oplus \mathbb{S}^*$

## Free spinor field data on $\mathbb{M}^n$

$\mathbb{M}^n$

Minkowski spacetime (affine space, Lorentz metric)

$C \subset \mathbb{R}^{1,n-1}$

component of timelike vectors (time-orientation)

$\text{Spin}_{1,n-1} \subset \text{Cliff}_{n-1,1}^0$

Lorentz group

$\mathbb{S}$

real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module

$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$

symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

$m: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}$

skew-symmetric  $\text{Spin}_{1,n-1}$ -invariant (*mass*) form

- If  $\mathbb{S}$  is irreducible,  $\Gamma$  exists and is unique up to scale
- Given a pairing  $\Gamma$  there is a unique compatible  $\text{Cliff}_{n-1,1}$ -module structure on  $\mathbb{S} \oplus \mathbb{S}^*$
- Every finite dimensional  $\text{Cliff}_{n-1,1}$ -module is of this form

## Free spinor field data on $\mathbb{M}^n$

$\mathbb{M}^n$	Minkowski spacetime (affine space, Lorentz metric)
$C \subset \mathbb{R}^{1,n-1}$	component of timelike vectors (time-orientation)
$\text{Spin}_{1,n-1} \subset \text{Cliff}_{n-1,1}^0$	Lorentz group
$\mathbb{S}$	real (ungraded) $\text{Cliff}_{n-1,1}^0$ -module
$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$	symmetric $\text{Spin}_{1,n-1}$ -invariant form; $\Gamma(s, s) \in \overline{C}$ for all $s \in \mathbb{S}$
$m: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}$	skew-symmetric $\text{Spin}_{1,n-1}$ -invariant ( <i>mass</i> ) form

- If  $\mathbb{S}$  is irreducible,  $\Gamma$  exists and is unique up to scale
- Given a pairing  $\Gamma$  there is a unique compatible  $\text{Cliff}_{n-1,1}$ -module structure on  $\mathbb{S} \oplus \mathbb{S}^*$
- Every finite dimensional  $\text{Cliff}_{n-1,1}$ -module is of this form

**Lemma (F–Hopkins):** Nondegenerate mass terms for  $\mathbb{S} \longleftrightarrow \text{Cliff}_{n-1,2}$ -module structures on  $\mathbb{S} \oplus \mathbb{S}^*$  that extend the  $\text{Cliff}_{n-1,1}$ -module structure

**Problem:** For  $(\mathbb{S}, \Gamma)$  (with  $m = 0$ ), deduce the  $(n + 1)$ -dimensional anomaly theory  $\alpha_{(\mathbb{S}, \Gamma)}$

**Problem:** For  $(\mathbb{S}, \Gamma)$  (with  $m = 0$ ), deduce the  $(n + 1)$ -dimensional anomaly theory  $\alpha_{(\mathbb{S}, \Gamma)}$

- $\alpha_{(\mathbb{S}, \Gamma)}$  is an invertible field theory with  $\mathcal{F} = \mathbf{Riem} \times \mathbf{Spin}$
- We implicitly take a universal target for invertible field theories

**Problem:** For  $(\mathbb{S}, \Gamma)$  (with  $m = 0$ ), deduce the  $(n + 1)$ -dimensional anomaly theory  $\alpha_{(\mathbb{S}, \Gamma)}$

- $\alpha_{(\mathbb{S}, \Gamma)}$  is an invertible field theory with  $\mathcal{F} = \mathbf{Riem} \times \mathbf{Spin}$
- We implicitly take a universal target for invertible field theories
- The “curvature” of the theory (local anomaly) is a degree  $(n + 2)$  differential form on  $\mathbf{Riem}$ , a component of the Chern-Weil form for  $\hat{A}$ ; it vanishes if  $n \not\equiv 2 \pmod{4}$ , in which case  $\alpha_{(\mathbb{S}, \Gamma)}$  is a *topological* theory; it factors through  $\mathcal{F} = \mathbf{Spin}$

**Problem:** For  $(\mathbb{S}, \Gamma)$  (with  $m = 0$ ), deduce the  $(n + 1)$ -dimensional anomaly theory  $\alpha_{(\mathbb{S}, \Gamma)}$

- $\alpha_{(\mathbb{S}, \Gamma)}$  is an invertible field theory with  $\mathcal{F} = \mathbf{Riem} \times \mathbf{Spin}$
- We implicitly take a universal target for invertible field theories
- The “curvature” of the theory (local anomaly) is a degree  $(n + 2)$  differential form on  $\mathbf{Riem}$ , a component of the Chern-Weil form for  $\hat{A}$ ; it vanishes if  $n \not\equiv 2 \pmod{4}$ , in which case  $\alpha_{(\mathbb{S}, \Gamma)}$  is a *topological* theory; it factors through  $\mathcal{F} = \mathbf{Spin}$
- Let  $M(\mathbb{S})$  denote the vector space of mass pairings. (It may be the zero vector space.) We can take  $\mathcal{F} = \mathbf{Riem} \times \mathbf{Spin} \times M(\mathbb{S})$  and deduce the anomaly; see arXiv:1905.09315 with [Córdova-Lam-Seiberg](#)

## Free fermion anomaly theory (F–Hopkins)

$\mathbb{S}$

real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module

$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$

symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

**Lemma:** Nondegenerate mass terms for  $\mathbb{S} \longleftrightarrow \text{Cliff}_{n-1,2}$ -module structures on  $\mathbb{S} \oplus \mathbb{S}^*$  that extend the  $\text{Cliff}_{n-1,1}$ -module structure

# Free fermion anomaly theory (F–Hopkins)

$\mathbb{S}$

real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module

$\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$

symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

**Lemma:** Nondegenerate mass terms for  $\mathbb{S} \longleftrightarrow \text{Cliff}_{n-1,2}$ -module structures on  $\mathbb{S} \oplus \mathbb{S}^*$  that extend the  $\text{Cliff}_{n-1,1}$ -module structure

$[\mathbb{S}] \in \pi_{2-n}KO \cong [S^0, \Sigma^{n-2}KO]$  (Atiyah–Bott–Shapiro)

# Free fermion anomaly theory (**F–Hopkins**)

$\mathbb{S}$  real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module  
 $\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$  symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

**Lemma:** Nondegenerate mass terms for  $\mathbb{S} \longleftrightarrow \text{Cliff}_{n-1,2}$ -module structures on  $\mathbb{S} \oplus \mathbb{S}^*$  that extend the  $\text{Cliff}_{n-1,1}$ -module structure

$[\mathbb{S}] \in \pi_{2-n}KO \cong [S^0, \Sigma^{n-2}KO]$  (**Atiyah–Bott–Shapiro**)

**Claim:** The isomorphism class of  $\alpha_{(\mathbb{S}, \Gamma)}$  is the *differential* lift of the composition

$$M\text{Spin} \xrightarrow{\phi \wedge [\mathbb{S}]} KO \wedge \Sigma^{n-2}KO \xrightarrow{\mu} \Sigma^{n-2}KO \xrightarrow{\text{Pfaff}} \Sigma^{n+2}I\mathbb{Z}$$

# Free fermion anomaly theory (**F–Hopkins**)

$\mathbb{S}$  real (ungraded)  $\text{Cliff}_{n-1,1}^0$ -module  
 $\Gamma: \mathbb{S} \times \mathbb{S} \longrightarrow \mathbb{R}^{1,n-1}$  symmetric  $\text{Spin}_{1,n-1}$ -invariant form;  $\Gamma(s, s) \in \overline{C}$  for all  $s \in \mathbb{S}$

**Lemma:** Nondegenerate mass terms for  $\mathbb{S} \longleftrightarrow \text{Cliff}_{n-1,2}$ -module structures on  $\mathbb{S} \oplus \mathbb{S}^*$  that extend the  $\text{Cliff}_{n-1,1}$ -module structure

$[\mathbb{S}] \in \pi_{2-n}KO \cong [S^0, \Sigma^{n-2}KO]$  (**Atiyah–Bott–Shapiro**)

**Claim:** The isomorphism class of  $\alpha_{(\mathbb{S}, \Gamma)}$  is the *differential* lift of the composition

$$M\text{Spin} \xrightarrow{\phi \wedge [\mathbb{S}]} KO \wedge \Sigma^{n-2}KO \xrightarrow{\mu} \Sigma^{n-2}KO \xrightarrow{\text{Pfaff}} \Sigma^{n+2}I\mathbb{Z}$$

Partition function on a Riemannian spin  $(n+1)$ -manifold is an exponentiated  $\eta$ -invariant